#### REPORT OF THE SCRS SUB-GROUP ON ELECTRONIC MONITORING SYSTEMS (EMS)

Presentation from the SCRS to the WG-EMS Meeting of the ICCAT EMS Working Group (online, 15 Feb 2023)

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#### • In 2019 ICCAT, established Recs 19-02 and 19-05 (pertaining to tropical tunas and billfishes):

The Permanent Working Group for the Improvement of ICCAT Statistics and Conservation Measures (PWG), in cooperation with the SCRS, shall work to develop recommendations on the following issues for consideration at the 2021 Annual Meeting of the Commission:

#### a) Minimum standard for an electronic monitoring system such as:

(i) the minimum specification of the recording equipment (e.g., resolution, recording time capacity, data storage type, data protection)

(ii) the number of cameras to be installed at which points on board

#### b) What shall be recorded

- c) Data analysis standards (e.g., converting video footage into actionable data by the use of artificial intelligence)
- d) **Data to be analyzed** (e.g., species, length, estimated weight, fishing operation details)
- e) **Reporting format** to the Secretariat

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In 2020 **CPCs are encouraged to conduct trials** on electronic monitoring and report the results back to the PWG and the SCRS in 2021 for their review.





# **Technical Sub-group on EMS**

- The Technical Sub-group on EMS was created during the 2021 Intersessional Meeting of the Billfishes Species Group (March 2021)
- Multiple online/zoom (1 day) meetings in 2021 and 2022 and much intersessional work done by the Sub-group participants
- 2021 work:
  - Literature revision with main conclusions presented to the SCRS (SCRS/2021/165)
- 2022 work:
  - Comparison between EMS and HO for scientific ICCAT data (from ST-09)
  - Draft proposal for the pelagic LL minimum standards for EMS
  - Presentation and adoption by the SCRS (SCRS/2022/165)





### **Summary of the literature revision work**

The following points have been NOTED IN 2021 (presented in SCRS/2021/165):

- EM systems hold promise for resolving some problems with data gaps in fisheries monitoring, but it cannot substitute for a human observer. As such, integrated EM systems are likely to be used as a complement rather than a replacement in at-sea observer programs.
- One limitation is that the **cameras record only what is in their field of view and cannot prioritize** among elements in the images they are recording.
  - However, one advantage is that the images can be reviewed multiple times for data extraction
- It is important to also note that **at-sea observers can perform other tasks not covered by EM** Systems, such as biological sampling





### **Summary of the literature revision work**

- EM systems need to address the **challenges associated with processing and analyzing very large volumes of data,** which are different to the challenges encountered when dealing with human observers and their data
  - It is possible that **improvements in artificial intelligence, machine learning/deep learning algorithms**, hardware and software can mitigate some of the current limitations with data collection and analysis
- Integrated EM systems must be able to **meet both national and international requirements to ensure data collection, continuity, veracity and precision** are not compromised **and that scientists have the required data to ensure they can continue to provide accurate scientific advice to managers.**



### 2022 work – Started in later 2021 and carried out in 2022

- Sub-group main tasks during 2022:
  - Comparison of what can be obtained with human observers versus EMS (using ST-09 data forms);
  - Draft and adoption of the minimum standards (mostly focusing on the technical aspects, such as n<sup>o</sup> and location of cameras, etc.)





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# **ST-09 – FISHING DATA (Form A)**

Most "Fishing characteristics data" can be obtained with EMS

			Possible to collect by	Possible to	Natas
ST-05A DATA HELDS			human observers?	collected by EMS?	Notes
	Fish. Oper. (FO)	FO group ID	Not applicable	Not applicable	Coding variable applied post-processing
Fishing operations & fleets		Flag of Vessel (cod)	Yes	Yes	Obtained from EMS instalation ID
	Fleet attributes	Base port/zone	Yes	Yes	Obtained from EMS instalation ID
		Vessel (size class)	Yes	Yes	Obtained from EMS instalation ID
Tomporal attributor	Year, month/trimester	Year	Yes	Yes	Need to assure the EMS system has a GPS or VMS included as standard
		T. Period (ID)	Yes	Yes	Need to assure the EMS system has a GPS or VMS included as standard
		Square type (cod)	Yes	Yes	Need to assure the EMS system has a GPS or VMS included as standard
		Lat (centroid)			
Geographical attributes	(lat lon)	(± dd.ddd)	Yes	Yes	Need to assure the EMS system has a GPS or VMS included as standard
	(Lat, Lon)	Lon (centroid)			
		(± dd.ddd)	Yes	Yes	Need to assure the EMS system has a GPS or VMS included as standard
		Gear group (cod)	Yes	Yes	
	All fishing gears	Nº vessels	Not applicable	Not applicable	Grouping variable applied post-processing
		№ Fish. Oper. (observed)	Not applicable	Not applicable	Grouping variable applied post-processing
		Fish Oper. Type (cod)	Yes	Yes	
		School type (cod)	Not applicable to LL	Not applicable to LL	Not applicable to LL
	Longline (LL) only				Possible with additional info from logbooks or the skiper. Should also be
					possible to detect the LL type/configuration with a camera recording the
		LL type	Yes	Yes	deployment
Effort attributes					Migth be possible to get from logbooks. Could also count at deployment, as
					hooks/floats are seen with a deployment camera (but could be time consuming
		№ hooks (total)	Yes	Yes	to count all hooks)
		No. hooks (observed)	Yes	Yes	
		Hook type (main)	Yes	Possible	Possible but need integration with additional info from logbooks or the skiper
					Need to put cameras during deployment to count hooks between floats. Will
		Set depth (hooks per			also allow for total set effort (n hooks). Note that HBF migth not be the best
		basket)	Yes	Yes	proxy for depth of setting
	Seabirds				Possible for EMS to detect some MM, like for example Tori line, night setting or
		MM 1	Yes	Yes	painted bait.
					Possible for EMS to detect some MM, like for example Tori line, night setting or
Mitigation measures (MM) on		MM 2	Yes	Yes	painted bait.
bycatch species					Possible for EMS to detect some MM, like for example Tori line, night setting or
	Other bycatch	MM 3	Yes	Yes	painted bait.
					Optional field in ST-09. Possible to add information with any complimentary
	Additional notes	Description (MM)	Yes	Yes	information





# **ST-09 – CATCH DATA (Form B)**

#### Most "Catch data" can be obtained with EMS, but there might be the need for some adaptations

ST-09B DATA FIELDS		Collected by human observers?	Collected by EMS?	Notes	
	Fish. Oper. (FO)	FO group ID	Not applicable	Not applicable	Coding variable applied post-processing
					EMS could have problems with identification of bycatch that are not brought
					onboard, and in those cases higher level taxa ID is likely needed. As a standard,
					the EMS system should have one camera for the retained species and another
					for the area close to the vessel in cases they cut the line for discarding. For the
	Species (attributes)				retained catch EMS systems record video that can be seen many times, while
					human observers have the advantadge of being able to look into detailed
		Species (cod)	Yes	Yes	taxonomic caracteristics if needed.
		Targeted (Y/N)?	Yes	Possible	Possible but need integration with additional info from logbooks or the skiper
					Both HO and EMS could only do in vessels that have scales to weigth individual
					specimens. Most vessels don't have these onboard (some large LL only). If the
				Possible in some	vessles have scales, could put cameras facing the scales. Or there might be a
	Catches (retained)	Weight (kg)	Yes	cases	way to conect the scales to the EMS directly
	catches (retained)				Both HO and EMS could only do in vessels that have scales to weigth individual
Catch composition by fishing or				Possible in some	specimens. Most vessels don't have these onboard (some large LL only). If the
,,,,,		Product type (cod)	Yes	cases	vessles have scales, could put cameras facing the scales.
		Number (catch number)	Yes	Yes	
					Important to be collected (even for some management recomendations and
					compliance issues). The EMS would need cameras or other systems in specific
				Possible in some	positions to determine specimen condition at release. Need video and not only
		Dead (DD)	Yes	cases	still images. Requires review of all relevant video footage to get total numbers
	Discards (Number)				Important to be collected (even for some management recomendations and
					compliance issues). The EMS would need cameras or other systems in specific
				Possible in some	positions to determine specimen condition at release. Need video and not only
		Alive (DL)	Yes	cases	still images. Requires review of all relevant video footage to get total numbers
					Important to be collected (even for some management recomendations and
					compliance issues). The EMS would need cameras or other systems in specific
		Unknown	Yes	Yes	positions to determine specimen condition at release.
	Sampling (data)	Nº sampled	Yes	Yes	

Note: Many types of scientific data collected by observers are possible to collect through EMS, but some are much more labor intensive to obtain (e.g., reviewing many hours of video footage, placing catch in specific places for measurements, cameras at specific locations for discards, etc.).





# **ST-09 – BIOLOGICAL DATA (form C)**

Collection of "Biological data" with EMS is more challenging and will need adaptations

	ST-09C DATA FIELDS			Collected by human observers?	Collected by EMS?	Notes
			Unique specimen ID	Not applicable	Not applicable	Coding variable applied post-processing
	Specimens & fishing operations	Specimen Identifier	FO group ID	Not applicable	Not applicable	Coding variable applied post-processing
			Species (cod)	Yes	Yes	
						With observers it is possible for elasmos (externally) and bony fishes when
					Possible in some	they are eviscerated; With EMS might be possible for elasmobranchs with
		Sex	Sex (cod)	Yes	cases	specific specimen position by the crew and cameras
		Size				Possible if the crew positions the specimens in front of a specific
			Length (cm)	Yes	Yes	camera for measurements. Need for calibrated areas
			Size class type (cod)	Yes	Yes	
						Both HO and EMS can only do in vessels that have scales to weigth individual
						specimens. Most vessels don't have these onboard (some large LL only). If the
					Possible in some	vessels have scales the HO can take weights directly. For EMS migth be possible
					cases but need	to put cameras facing the scales, or there might be a way to conect the scales to
		Weight	Weight (kg)	Yes	adaptations	the EMS directly
	Biological data (observed)					Both HO and EMS could only do in vessels that have scales to weigth individual
					Possible in some	specimens. Most vessels don't have these onboard (some large LL only). If the
					cases but need	vessles have scales, could put cameras facing the scales. Or there might be a
			Product type (cod)	Yes	adaptations	way to conect the scales to the EMS directly
						Collection of samples by HO depends on the logistics onboard, specific studies
			Genetics (YN)?	Yes	No	objectives, etc
						Collection of samples by HO depends on the logistics onboard, specific studies
		Samples obtained (V/N)	Otoliths (YN)?	Yes	No	objectives, etc
		Samples obtained (1/14)				Collection of samples by HO depends on the logistics onboard, specific studies
			Stomach (YN)?	Yes	No	objectives, etc
						Collection of samples by HO depends on the logistics onboard, specific studies
			Gonads (YN)?	Yes	No	objectives, etc
	Release attributes and others	Condition (external injuries)	Released (YN)?	Yes	Possible in some cases	The operation is visualized by seeing the surrounding water. If the catch is not hoisted but part of the body is seen, it is sometimes possible to reach the level of the genus (e.g., Alopias, Sphyrna). Also in leatherback turtles. In other species (e.g., hardsheel turtles, other fishes), if they are not hoisted to remove the hook it is more complicated to reach the species or even genus. Depends also on the cleanliness of the cameras and the release maneuver. Inuries from depredation or from the fishing process can be seen sometimes.
					Possible in some	But if the specimens are released in the water it migth be difficult for both HO
			Iniuries (scale)	Possible in some cases	cases	and EMS
			Tag number	Yes	No	
		Others	Notes	Yes	Yes	Any additional notes can be input both by HO and EMS visualization

Note: Some scientific importante aspects, such as biological samples, are not possible to take with EMS.





- Full/detailed text presented in 2022 in documents:
  - SCRS/2022/165 (Sub-group report to the SCRS)
  - Appendix 17 of the 2022 SCRS Report (minimum standards adopted by the SCRS)
- Here (in the following slides) we provide a summary of the main points





#### **Objectives of the EMS**

- At the SCRS level, the priority is **implementing EMS that allow the collection of fisheries data usable for scientific purposes**.
- Should be designed in a way which **compliments**, and to the extent possible is consistent, with what is currently collected by human observers.
- EM systems may also be used for compliance and other purposes.
- Scientific data often must be collected at a finer resolution (e.g. spatial, temporal) than would be required for compliance. In such a situation, meeting the minimum requirements needed for science, would allow use in both scenarios.





**Structure (who is responsible - Commission to decide details)** 

#### **Option 1: Decentralized system:**

- Each CPC is responsible for the EM system implementation in its own fleets, including the recordings, processing and data extraction, and submission of data to ICCAT.
- Similar to what currently exists for national human observer programs for scientific purposes.
- Costs are borne by CPCs programme, so there would be little financial costs for the Commission and less administrative burden on the ICCAT Secretariat.
- Potential issue with inconsistent implementation of the EM requirements across the ICCAT members as has been the case with regard to the implementation of ICCAT's minimum standards for scientific observer programs (Rec. 16-14).





Structure (who is responsible - Commission to decide details)

#### **Option 2: Centralized system:**

- A system that would be **coordinated at the Secretariat level**.
- Benefits are more consistent implementation across the ICCAT membership.
- More significant challenges associated with this approach, particularly related to the **financial costs to the Commission and the administrative burden on the Secretariat**.
- Issues of data sharing and confidentiality (e.g., raw videos) would also need to be addressed.

There are important trade-offs associated with the approach selected, which should be further considered by scientists and managers.

In consideration of data needs and given the significant financial costs and other development and implementation challenges associated with a centralized EM system, the Sub-group has focused on the development of input related to a decentralized system.





#### **Periodic reviews**

- EM systems should have **regular evaluations** to ensure it reaches the objectives outlined.
- These also **give opportunity to incorporate new technologies** (i,e., improved cameras, artificial intelligence) as they become available, as well as updated and incorporate new objectives.
- A **review framework should also allow a faster implementation** of the updated minimum standards, that can be reviewed and adapted as needed in the future.





Standards described in this presentation in the following slides

- 1) Standards for onboard EM system technology, including equipment and camera system requirements, installation and maintenance;
- 2) Standards for data storage requirements and what data are subject to those provisions;
- 3) Standards for data collection, review and transmission to ICCAT;
- 4) Standards for data protection and potential privacy issues.





- Standards for onboard EM system technology, including equipment and camera system 1) requirements, installation and maintenance
- Capable to **resist rough conditions at-sea with minimum human intervention**. •
- Linked to a **receiver which records for e.g., coordinates, speed, and heading data** (e.g., GPS). ٠
- **Battery backup** with capacity to allow proper shutdown and not corrupt the data if power from the • vessel fails.
- **Proof against any manual data input or external data manipulation**, and record any attempt to tamper with the equipment or the archived data.
- Administrative tools and data must be password protected. The EMS must be proof against any ٠ manual data input or external manipulation.
- Specifications for EMS **should be based on performance standards** rather than being too prescriptive in terms of pure technical requirements.
- Cameras must be placed to **provide clear**, **unobstructed views of the areas that are being covered**.
- Vessels should be equipped with a sufficient number of cameras to allow data collection to the ٠ required standards (we provide an example of a 4-camera system next). 16



- Example of a 4 camera set-up for pelagic LL vessels scientific EMS

<b>Camera location</b>	Action covered	Possible data collected
		Set position, date, time
		Total number of hooks, hook
		types, hooks between floats
Aft of the boat	Setting operation	Bait type/species
	Setting operation	Bait ratio (%)
		Mitigation measures used
		(painted bait, tori lines, line
		weight)
		Species ID/composition
		Specimen sizes
	Catch at hauling	Condition (dead/alive)
Work deck		Fate (retained/discarded)
		Predators observed
	Discarding (if hauled before	Discards by set
	discarded)	Discards ID/composition
		Species ID/composition
SI Ti		Total catch by set
Processing area	Catch while processing	Specimen sizes
5	Catch while processing	Sex
		Weights?
		Product type (fresh/processed)
	Discording (if discorded in	Discards by set
Surrounding water area	the water)	Discards ID/composition
		Condition of discards?





- 1) Continuation: Standards for onboard EM system technology, including equipment and camera system requirements, installation and maintenance
- Crew should ensure that all specimens caught, even the discards, are **handled in a manner that enables** • the video to record such specimens.
- Assumed that most cases will be **using video are the primary data collection** method, but it **may be** ٠ possible for some CPCs to collect the data with still images.
- Quality of the data must be sufficient to allow species ID and detailed measurements of specimens. ٠
- Suggested video with a minimum 720p resolution, and a minimum of 5-10 FPS. For still images, suggested • a minimum resolution of 2MP, with a rate of image capture determined by the characteristics of each fishery.
- System should be **independent from the crew during the trip** (exception of some basic maintenance) such as periodically cleaning the camera lenses).
- Usually not necessary to record 24h/day, but only when relevant operations are taking place, to save storage space. The EM system could have sensors and be capable of recording only during the period of gear deployment (aft camera) and gear retrieval (work deck, processing area, surrounding water cameras). 14-Feb-23





1) Continuation: Standards for onboard EM system technology, including equipment and camera system requirements, installation and maintenance

- Include a control box that receives and stores the raw data provided by the sensors and cameras.
- Include a wheelhouse monitor with a user interface to **provide information about the functioning of the system and for the vessel operator to monitor the control box, and cameras**.
- The EMS should have a **self-diagnostic test for functionality of the system components**, and record the outcome of the tests.





2) Standards for data storage requirements and what data are subject to those provisions

- Must contain **data storage systems adequate for the trip duration** that each national program is designed to cover.
- Regulations relating to **data storage and transmission should be flexible as new technology may allow for different ways of storing or transmitting data** that are less logistically challenging or more efficient.
- System must be verified to be functioning properly before the start of each trip, remain powered on and positioned correctly for the duration of each trip.





3) Standards for data collection, review and transmission to ICCAT

- In decentralized system, raw data (i.e, video/images) are managed by each CPC.
- **Review of the video footage is done by the CPCs** authorities and/or by a contracted EM service provider.
- EMS should be able to collect, to the extent possible, the observer data that is required to be submitted to ICCAT (ST-09), or any subsequent update of the form.
- EMS cannot fully replace all the functions of human scientific observers, such as biological sampling.
  EMS should be used as a complement or supplement to such programs, and a minimum human observer coverage should still be maintained for scientific purposes. This is currently 5-10% for most ICCAT fisheries.
- There may be the **need for CPCs to train EM analysts for their programs**. **ICCAT Secretariat might be involved in providing standardized training** for EM analysts or approve training programmes followed by each CPC.
- For size measurements to be taken, catch will need to be positioned by the crew onboard in one or more calibrated areas (example provided in next slide).





#### 3) Standards for data review and transmission to ICCAT

• **Example of a calibrated hatch onboard a commercial fishing vessel**. These areas will vary from vessel to vessel, depending on available surfaces and the species (sizes) being measured.







#### 3) Standards for data review and transmission to ICCAT

- Once data is collected it should be subject a **quality control (QC) procedure**, as is standard with most observer programmes, to ensure data quality.
- Any conversion factors (e.g., length-length or length-weight) should be the conversion factors adopted by the SCRS, when available.
- **CPCs are responsible for reporting EMS data to the ICCAT Secretariat using ICCAT ST-09 forms**, or any other forms that in the future might be developed and approved by the SCRS for EMS data reporting.
- Submission of EMS data should comply with the Task 1, 2, and 3 data submission deadlines established by the SCRS and adopted by the Commission.





4) Standards for data protection and potential privacy issues

- With a decentralized program, the aspects relative to potential privacy issues of the crew, depend on national regulations and legislation.
- In such a system, only the CPC that is responsible for the collection of the data has access to the original/raw video recordings.
- What is **submitted to ICCAT is the data extracted from those original recordings**.
- Data submitted to the Secretariat should follow the ICCAT Rules and Procedures for the Protection, Access to, and Dissemination of Data.





### **Some main conclusions**

- EMS hold promise for resolving some problems with data gaps in fisheries monitoring, but it cannot substitute for human observers.
  - EMS are likely to be used as a complement rather than a replacement.
  - A minimum coverage with human observers should be maintained (currently 5-10% for some ICCAT fisheries).
- There are still challenges associated with processing and analyzing very large volumes of data
  - Improvements in artificial intelligence, machine learning/deep learning algorithms, hardware and software can mitigate some of the current limitations with data collection and analysis.





### **Some main conclusions**

- **Specifications for EMS should be based on performance standards** rather than being too prescriptive in terms of pure technical requirements.
  - Vessels should be equipped with a sufficient number of cameras to allow data collection to the required standards (we provide an example of 4 camera setup for pelagic LL).
  - Quality of the data must be sufficient to allow species ID and detailed measurements of specimens.
  - EMS should be able to collect, to the extent possible, the observer data that is required to be submitted to ICCAT (ST-09), or any subsequent update of the form.
  - Some adaptations will be needed from the onboard crew, such as the crew positioning the catch in one or more calibrated areas for size measurements.



# Subgroup interactions with the Commission and other RFMOs

#### • Interactions with the ICCAT Commission (keeping Commission updated on our work)

- Coordination with the IMM Chair since 2021
- Presentation to IMM 1 meeting in 2021 (14-17 June 2021)
- Presentations to the ICCAT WG-EMS in 2022 2 meetings (28 Feb 2022; 6-7 June 2022)
- Presentation to the ICCAT WG-EMS in 2023 15 Feb 2023 (this meeting)

#### • Interactions with other RFMOs (scientific groups)

- IOTC Presentations to the Working Group on EMS (15-17 Nov 2021, 5-7 June 2022)
- IATTC Presentation to the 3<sup>rd</sup> workshop for an EMS in the EPO (25-27 April 2022)



## Thank you

# Questions? Suggestions?