- 1 Evidence to inform spatial management of a western Pacific Ocean tuna purse seine fishery
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12 13 ABTRACT

- 14 Fisheries can have profound impacts on co-occurring species exposed to incidental capture.
- 15 particularly those with life history traits that make them vulnerable to elevated mortality levels.
- 16 Fisheries spatial management holds substantial potential to balance socioeconomic benefits
- 17 and costs to threatened bycatch species. This study analyzed observer program data for a
- 18 western Pacific Ocean tuna purse seine fishery to estimate the effect of the spatial and temporal
- 19 distribution of fishing on catch rates of target and at-risk species by fitting spatially-explicit
- generalised additive multilevel regression models within a Bayesian inference framework. Mean 20
- 21 field prediction surfaces defined catch rate hotspots for principal market tunas, silky sharks, rays
- 22 and whale sharks, informing the development of candidate area-based management strategies. 23 Due to sample size limitations, odontocete and marine turtle catch geospatial patterns were
- 24 summarized using 2D hexagonal binning of mean catch rates. Effort could be focused in two
- 25 areas within core fishing grounds in the Solomon and Bismark Seas to reduce overlap with
- 26 hotspots for silky sharks, rays and whale sharks without affecting target catch. Effort could also
- 27 be shifted outside of core fishing grounds to zones with higher target tuna catch rates that would
- 28 also reduce overlap with hotspots for at-risk species. However, two tuna warmspots overlapped
- 29 silky and whale shark warmspots. Sparse and small marine turtle and whale shark hotspots
- occurred across the fishing grounds. Research on the economic and operational viability of 30 alternative spatial management strategies is a priority. A small subset of sets had
- 31
- 32 disproportionately large odontocete captures. Real time fleet communication and move-on rules 33 and avoiding sets on dolphin schools might reduce odontocete catch rates. Management of
- 34 informative operational predictors such as set association type and mesh size present additional
- 35 opportunities to balance catch rates of at-risk and target species. A transition to employing
- 36 output controls that effectively constrain the fishery would alter the spatial management strategy
- to focus on zones with the lowest ratio of at-risk bycatch to target tuna catch. Findings inform 37
- 38 the design of alternative spatial management strategies to avoid catch rate hotspots of at-risk 39 species without compromising the catch of principal market species.
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- 41 Keywords: Area-based management tools (ABMTs); bycatch; dynamic spatial management; 42 hotspots
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45 **1. INTRODUCTION**

- 46 There has been growing concern over the sustainability of marine megafauna exposed to
- 47 bycatch fishing mortality, including species with life histories that make them particularly
- 48 vulnerable to elevated mortality from anthropogenic threats (Musick 1999; Hall et al., 2017;
- Jorgensen et al., 2022). Selective fishery removals of pelagic marine apex and mesopredators 49
- 50 can alter population and ecosystem size structure, have cascading effects down food webs in
- 51 some pelagic ecosystems and cause fisheries-induced evolution (Kitchell et al., 2002; Ward and

Myers, 2005; Polovina and Woodworth-Jefcoats, 2013). There has also been increasing
attention to risks from bycatch to food, nutrition and livelihood security (Jaiteh et al., 2017; Seidu
et al., 2022).

Tuna purse seine fisheries are a substantial anthropogenic mortality source for silky
(*Carcharhinus falciformis*) and other species of sharks, including oceanic whitetip sharks (*C. longimanus*), hammerheads (Sphyrnidae) and whale sharks (*Rhincodon typus*). They also
capture manta and devil rays (*Mobula* spp.), marine turtles, whales, and mainly in the eastern
Pacific Ocean, sets may be made on tuna schools associated with dolphins (Dagorn et al.,
2013; Hall & Roman, 2013; Kaplan et al., 2014; Poisson et al., 2014; Lezama-Ochoa et al.,
2019; Filmalter et al., 2021).

62 For some gear types and some taxa of at-risk bycatch, numerous methods are now 63 available that avoid and substantially reduce catch and fishing mortality of bycatch that are also 64 economically viable, practical, safe and support a broad range of approaches for effective compliance monitoring (Gilman, 2011; Poisson et al., 2016; Hall et al., 2017). However, there 65 has been mixed progress in their uptake (Gilman et al., 2014; Juan-Jorda et al., 2018). This 66 67 includes input and output controls, international trade bans, restrictions on drifting fish 68 aggregating device (FAD) designs to avoid shark and turtle entanglement, restrictions on purse 69 seine set type, handling and release practices and area-based management tools (ABMTs) 70 (Poisson et al., 2016; Hall et al., 2017; Gilman et al., 2022).

71 Static and dynamic ABMTs hold substantial potential to mitigate threatened species 72 bycatch, including in blue water fisheries (Halpern, 2003; Slooten, 2013; Kaiser et al. 2018, 73 Kenchington et al. 2018; FAO, 2019; Gilman et al., 2019a; Mannocci et al., 2020). Time-area 74 measures for tuna purse seine fisheries adopted by regional fisheries management 75 organizations (RFMOs) have been designed to support management strategies for principal market species (Kaplan et al., 2014; Gilman et al., 2019a; Hilborn et al., 2021). For example, 76 77 tuna RFMOs have employed seasonal and permanent static closures and seasonal drifting FAD 78 closures to support objectives for managing target species, such as reduced catch and mortality 79 of juvenile tunas, swordfish and bluefin tuna (Gilman et al., 2019a; Hilborn et al., 2021). ABMTs 80 also have the potential to manage threatened species bycatch in purse seine fisheries (Kaplan et al., 2014; Mannocci et al., 2020; Diaz-Delgado et al., 2021). While there is limited empirical 81 82 evidence of ecological responses to Blue Water spatial management interventions, effects are 83 likely to be strongest for upper trophic level species with certain behavioral and life-history traits, 84 with strong site fidelity and that are highly exploited prior to the ABMT intervention (Le Quesne 85 and Codling, 2009; Claudet et al., 2010; Gruss et al., 2011; Gilman et al., 2019a).

86 A western Pacific Ocean, Marine Stewardship Council-certified tuna purse seine fishery 87 with vessels flagged to Papua New Guinea (PNG) and the Philippines, composes 17% of 88 regional and 8% of global large scale tropical tuna purse seine vessels (Justel-Rubio and Recio, 89 2022). The fishery has apparently high silky shark bycatch and captures additional at-risk 90 species including Mobulid rays, whale sharks, cetaceans and marine turtles (SCS, 2020). The 91 fishery adopted a plan to address a condition of Marine Stewardship Council certification on the 92 management of silky shark by catch by exploring the potential of spatial fisheries management 93 (SCS, 2022).

94 This study identified the spatial exposure of at-risk and target tuna species to purse 95 seine fishery hazards in the western Pacific Ocean. The study analyzed observer data from PNG and Philippine flagged tuna purse seine vessels to estimate the effect of the spatial and 96 97 temporal distribution of fishing effort on catch rates of at-risk and target species, with effort 98 conditioned to account for other potentially informative predictors of catch risk based on fitting 99 spatially-explicit generalized additive multilevel regression models within a Bayesian inference 100 framework. Findings identify potential multispecies conflicts from alternative spatial 101 management strategies so that any unavoidable tradeoffs are planned and acceptable (Gilman 102 et al., 2019b). The study objective was to determine if there are temporally and spatially

- 103 predictable hotspots and coldspots for catch rates of at-risk species and of target tunas to
- 104 determine if these can be feasibly separated. Findings provide evidence to inform the design of
- a bycatch management strategy that incorporates spatial management to avoid catch rate
- 106 hotspots of at-risk species without compromising the catch of principal market species.
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109 2. METHODS

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111 2.1. Data Sources

112 Observer data were obtained from the Pacific Community and Forum Fisheries Agency 113 Regional Observer Programme. Observer data collection protocols are described in the 114 Regional Purse Seine Fisheries Observer Workbook and relevant observer data collection 115 forms (SPC and FFA, 2012, 2018). The compiled dataset comprised the species-specific catch 116 recorded for each set, and 22 continuous and nominal categorical predictors summarized in 117 Table S1 that might be informative of spatial and temporal patterns in the species-specific catch 118 rate. The study sample included 109,396 sets within five zones: the Federated States of 119 Micronesia exclusive economic zone (EEZ) (N=6,204 sets), Gilbert Islands portion of the Kiribati 120 EEZ (N=7,765 sets), Nauru EEZ (N=4,705 sets), PNG EEZ (N=87,713 sets), and Solomon 121 Islands EEZ (N=3,009 sets). These sets were made within 4,859 trips by 157 tuna purse seine 122 vessels flagged to PNG and the Philippines, with sets conducted over ~22 years, between 15 123 March 2001 and 15 December 2022 (Fig. 1). Sets in other zones of the western and central 124 Pacific Ocean combined, both within EEZs and on the high seas (high seas pockets are closed 125 to purse seine fishing, WCPFC, 2021), contained <6% of available observer data for sets by 126 PNG and Philippine flagged tuna purse seine vessels and were excluded from the study due to too small sample sizes. Fig. 1 summarizes the purse seine set intensity for the 22-year period 127 128 using a high-resolution 2D hexagon binning approach (Carr et al., 1987) via the hexbin R

129 package (Carr et al., 2023).

130 Six species or species groups considered for inclusion in the study, with sample sizes 131 summarized in Table 1, were: combined principal commercial tuna species (skipjack 132 Katsuwonus pelamis, yellowfin Thunnus albacares and bigeye T. obesus tunas), silky shark, 133 combined species of rays, combined species of odontocetes, whale shark, and combined 134 species of hard-shelled turtles. Records for the weight in metric tonnnes of the catch of 135 commercial tuna species and number of catch of at-risk species were used in the analyses. The 136 fishery primarily targets skipjack and yellowfin tunas and also catches bigeye tuna primarily in 137 associated sets (Table 1). Skipjack tuna accounted for 63.7% of the combined weight of the 138 principal market tuna species, followed by yellowfin tuna (33.6%) and bigeye tuna (2.7%). Of 139 captured rays, 40% were giant manta (Mobula birostris), 52% other Mobula species, 7% pelagic 140 stingray (*Pteroplatytrygon violacea*), and the remainder (<1%) were not identified to the species 141 level. Of captured hard-shelled turtles, 27% were olive ridley (Lepidochelys olivacea), 26% 142 green (Chelonia mydas), 19% loggerhead (Caretta caretta), 19% hawksbill (Eretmochelvs 143 imbricata), 6% not identified to the species level, and 2% were recorded as flatback (Natator 144 depressus). Of captured odontocetes, 39% were false killer whales (*Pseudorca crassidens*), 145 13% bottlenose dolphins not identified to the species level (Tursiops spp.), 7% common 146 dolphins (Delphinus delphis), 6% each of Indo-Pacific (T. aduncus), Risso's (Grampus griseus), 147 rough-toothed (Steno bredanensis) and spinner dolphins (Stenella longirostris), and <5% each 148 of other species. Table 1 also reports the proportion of sets with >0 captures by set type and 149 species/taxa. Free school sets had a higher rate of "skunk" sets (sets where the school 150 escaped, with little or no capture) than sets associated with floating objects (Hall & Roman, 151 2013). Approximately 30% of the sets were skunk sets, and *ca.* 32% of sets contained no target 152 tuna species catch.

The fishery is predominantly a free school set (64% of total sets over the full study period, Table 1). From the first to second half of the study sample time series, anchored FAD sets declined from a mean of 38% to 4%, while free school sets increased from 37% to 77% of annual sets. During the most recent five years, free school sets were a mean of 84.2%, drifting FAD 6.7%, other associated 8.6%, and anchored FAD sets were 0.5% of the total number of sets made per year.

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160 2.2. Statistical Modeling Approach161

162 2.2.1. Workflow synopsis

163 Our modelling workflow, outlined in more detail below, can be summarised as follows, for four of 164 the species with sufficient catch data (silky shark, tunas, rays, whale shark): (1) identify and 165 extract potentially informative environmental predictors of species-specific catch rate at each of 166 the set-specific geolocations, (2) impute missing values for set-specific predictors such as purse 167 seine net length or set-type using machine learning (ML) based chained imputation procedures 168 due to the very large number of purse seine sets, (3) again due to the large and high 169 dimensional data set, use ML-based predictor screening in terms of predictive performance to 170 explore informative species-specific predictors and potential predictor interactions, (4) fit 171 species-specific spatially-explicit generalised additive multilevel regression models or 172 geoGAMMs to the catch time series data using a Bayesian statistical modelling framework with 173 a reduced selection of predictors informed by the ML-based screening step, (5) evaluate the 174 predictive performance of each geoGAMM using posterior predictive check tests, and then (6) 175 derive from each geoGAMM the spatially resolved catch prediction surface or map to support 176 evidence-informed marine spatial planning. We used 2D hexagonal binning (Carr et al., 1987) to 177 summarise the geospatial pattern in catch rates for the two species groups with sparse data (hard-shelled marine turtles and odontocetes).

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180 **2.2.2.** Potentially informative predictors

181 We used macro-scale ocean-climate indicators of the Pacific Decadal Oscillation (PDO) index 182 and Multivariate El Nino Southern Oscillation Index (MEI) as potential environmental drivers 183 known to affect both pelagic fish, cetacean and marine turtle productivity and distributions (Newman et al 2016, Bjorndal et al., 2017; Free et al., 2019). The PDO is a regional climate 184 185 index based on cyclical variations in north Pacific sea-surface temperature (Newman et al 186 2016). The MEI is another widely used regional scale ocean-climate index based on sea surface 187 temperature anomalies (Zhang et al., 2019). We sourced the monthly PDO index and the 188 revised bimonthly MEI from NOAA data repositories using the rsoi package for R (Albers, 189 2022). The monthly PDO and MEI index was then matched with the month of each purse seine 190 set — the PDO and MEI time series lagged by 12 months were included to potentially reflect 191 any delay in ocean productivity response to ocean temperature effects (Bjorndal et al., 2017; 192 Reisinger et al., 2022).

Seascape features and ocean depth are related predictors affecting pelagic biodiversity
hotspots and tuna fisheries catch rates in the Pacific Ocean (Morato et al., 2010). We sourced
the bathymetric depth (depth to seafloor) for the geolocation of each set using Bio-ORACLE
v2.0 (Assis et al., 2018) and the sdmpredictors package for R (Bosch & Fernandez, 2021).
Regional bathymetry mapping shown in Supplemental Material Figure S1 was derived using
NOAA bathymetry data (Amante & Eakins, 2009) that were accessed and processed via the
ggOceanMaps R package (Vihtakari, 2022).

Lunar illumination is known to be informative of tuna catch in the western Pacific region (Gilman et al., 2015), so we sourced predicted moonlight intensity for the date, time and geolocation of each set using the moonlit package for R (Śmielak, 2023). 203 In addition, potentially informative vessel, observer, operational, spatial and temporal 204 predictors of species-specific purse seine catch rates, summarized in Table S1, were included in 205 the ML-based predictor screening steps of the modelling workflow for each species and species 206 group. The most informative identified predictors were then included in the species-specific 207 geoGAMMs. These 16 predictors were available from the observer program dataset. For some 208 of the vessels with missing values for overall length and fish hold capacity in the observer 209 program dataset, values were able to be sourced from WCPFC (2023). The strength of 210 correlation between all continuous predictors (including spatial predictors: longitude, latitude) 211 was explored using the corrplot package for R (Wei & Simko, 2021) — this helped determine 212 whether any potential predictors might best be excluded from subsequent models due to 213 potential strong multicollinearity.

214 Other potentially informative predictors were considered but were not able to be included 215 due to data quality constraints. Explored but excluded predictors included vessel gross weight, 216 vessel engine power, number of crew, number of speedboats, some variables that affect the 217 speed of submerging the net, and vessel owner. Various set type-specific predictors could also 218 not be included due to data quality constraints, including variables specific to free school sets of 219 crow's nest height, use of bird radar and helicopter range (Hoyle et al., 2014), and variables 220 specific to FAD sets such as how drifting FADs were detected (signal from a radio buoy or a 221 satellite buoy attached to the FAD or visual), FAD designs and materials such as the depth and 222 materials of the appendage, and use of instrumentation (e.g., satellite buoy with an integrated echosounder) (Lennert-Cody et al., 2008; Hall & Roman, 2013; Schaefer et al., 2021; Wain et 223 224 al., 2021). 225

226 **2.2.3.** Machine learning-based missing data imputation

227 Dealing with missing data in one or more predictors is a major challenge for principled statistical 228 modelling (Little, 1988) and is usually dealt with using some form of model-based imputation 229 prior to fitting the model to be used for inference (Murray, 2018). We used an upset plot 230 approach to visually explore missing data patterns (Lex et al., 2014) and found that ca. 8-9% of 231 purse seine set records were missing one of four predictors of net depth, net length, net mesh 232 size, or set cruise speed, while 4% of sets were missing vessel well capacity and 3% were 233 missing set type. Some sets were missing multiple predictors with, for example, ca. 6% of the 234 sets missing all 4 predictors of net depth, net length, net mesh size and cruise speed. The 235 missing data were not missing completely at random (MCAR) as determined with a test for 236 MCAR (Little, 1988: Chi-sq test = 25702, df = 247, P < 0.0001) using the nanair R package 237 (Tierney & Cook, 2023) — so deleting missing cases or variables in our study is not appropriate 238 but requires modelling the missingness instead to support robust statistical inference (Gelman & 239 Hill. 2006).

240 It is possible to fit a Bayesian regression-based model using the original data with all 241 predictors and directly estimate the missing data during the model fitting procedure. However, 242 for the very large sample and high dimensional dataset considered here, this sort of 243 measurement-error modelling procedure (Richardson & Gilks, 1993; Goldstein et al., 2018) was 244 not computationally feasible. So, we used a fast multivariate missing data imputation approach 245 based on multiple chained random forests to impute all missing data for all continuous and 246 categorical predictors using the missRanger package for R (Mayer, 2021) with the ranger R 247 package as the backend (Wright & Ziegler, 2017) where all missing data are simultaneously 248 imputed multiple times until the minimum mean out-of-bag error was found (Mayer, 2021). The 249 chained random forest data imputation model also applied predictive mean matching (Little, 250 1988) to avoid any imputation with values never present in the original dataset. This imputed 251 dataset now comprised the original 109,396 purse seine sets and 22 predictors but now without 252 any missing values, and was the dataset used in all our subsequent analyses.

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254 2.2.4. Machine learning-based predictor screening

Models using ML approaches are powerful tools for applied predictive modelling in large data 255 256 settings and make very few assumptions about data structures (Kuhn & Johnson, 2013). The 257 first challenge in our statistical modelling workflow was to determine which ML algorithm was the 258 most applicable for the species-specific catch data. Usually, ML-based applications apply a 259 single prediction algorithm often with little if any specific knowledge domain justification. We 260 used an automatic ML or AutoML procedure (He et al., 2021) in the first instance to explore 261 which prediction algorithm might be best suited for each of the species-specific catch data time 262 series given the 22 potential predictors (Table S1) since there was little evidence of strong 263 correlation between most of the predictors (Figure S2). Specifically, we used the AutoML 264 procedure on the H20.ai platform (H2O.ai, 2022) via the h20 (LeDell et al., 2023) and agua 265 (Kuhn et al., 2023) R interface packages to: (1) explore, (2) hyperparameter tune, and (3) 266 evaluate a large number of regression or classification (to explicitly address the 'skunk' sets) 267 models using six prediction algorithm classes (gradient boosting machine, xgboost, distributed 268 random forest, neural nets, generalized linear model, stacked ensemble) and 4 model-specific 269 performance metrics for each species.

270 Stacked ensemble ML uses a supervised meta-learning algorithm to find the optimal 271 combination of the other five prediction algorithms. We used stacked ensembles as a 272 benchmark to determine which of the other single-class algorithms was as well suited in terms 273 of predictive performance for each species-specific dataset. Stacked ensembles are useful for 274 prediction but very difficult to interpret, which is a major objective of this study, and so we chose 275 the next best performing single-class algorithm for each species that compared adequately with 276 the stacked ensemble class. The performance metrics were MAE, RMSE, R², and mean 277 residual deviance for the regression-based models and AUC, accuracy, RMSE, and logloss for 278 the classification-based models (see Kuhn & Johnson, 2013). All ML modelling workflows were 279 applied within the tidymodels meta-learning framework for R (Kuhn & Wickham, 2020).

280 We fitted the appropriate species-specific supervised ML algorithm determined using 281 AutoML to each species-specific catch series using the 22 potentially informative predictors. The 282 response variable (hence supervised) in the case of 4 of the 6 species or groups considered 283 here (silky shark, tuna, rays, whale shark) was the recorded set-specific catch with purse seine 284 net length, net volume and vessel length as nonproportional effort proxies (Davies & Jonsen, 285 2011) being 3 of the 22 potentially informative predictors. We also explored binary data versions 286 for some species based on whether there was either 0 or > 0 set-specific catch modelled with a 287 Bernoulli likelihood, which is a special case of a binomial likelihood but now with a single trial 288 (Congdon, 2003). We then used recent developments in interpretable ML (Lundberg et al., 289 2020) using SHAP-based summary plots to help derive insight into the predictor functional form 290 and any informative interactions with other predictors. SHAP is an acronym of sorts for Shapley 291 additive feature explanations (Lundberg et al., 2020) where "feature" is a ML term synonymous 292 with the term "predictor". A SHAP value is the average or expected marginal contribution of that 293 predictor value to the predicted set-specific model outcome while averaging over all other 294 predictors in the model. SHAP values have many desirable properties including being additive 295 so that they sum to the total model output where a higher SHAP value is unambiguously 296 indicative of a more important predictor.

In our context, higher SHAP values imply greater contribution of a specific predictor to the catch rate. A SHAP summary plot then comprises a density summary of the predictive contribution of each predictor included in a model — it is a more robust form of the commonly used variable importance plot (Janitza et al., 2018) but is a marginal effect with the density summarizing the entire 109,396 purse seine set-specific values. SHAP values account for all predictive information in a specific feature that result from interactions and dependencies with other features or predictors in the model. The SHAP summary plots were derived here using (1) the kernelshap R package (Mayer & Watson, 2023) to calculate SHAP values for each of the 109,396 sets within each of the 4 species-specific predictive models followed by (2) SHAP summary visualization using the shapviz R package (Mayer, 2023). Importantly, this predictor screening step of our workflow helped identify the minimal set of meaningful predictors for inclusion in the next more computationally demanding but more inference-focused Bayesian geoGAMM modelling step.

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311 **2.2.5. Bayesian statistical modelling approach**

312 We used a Bayesian inference workflow (Gabry et al., 2019) based on spatially-explicit 313 generalized additive multilevel regression models or geoGAMMs (Kammann & Wand, 2003) 314 with the model likelihood based on either a zero-inflated or a distributional (hurdle-type) model 315 structure to account for the purse seine sets with zero-catch ("skunk" sets) conditioned on 316 potential informative covariates or predictors (Kneib et al., 2023: see Schaefer et al., 2021 for an 317 eastern Pacific tuna purse seine fishery modelling example). This Bayesian approach to 318 statistical modelling provides a powerful way to account for uncertainty in the data, the model 319 parameters and the model structure using probability theory (van de Schoot et al., 2021). The 320 Bayesian modelling workflow used here comprised: (1) prior predictive checks to assess the 321 adequacy of the priors used for (2) a robust statistical model accounting for data constraints and 322 potential predictors of catch rates followed by (3) graphical posterior predictive checks of the 323 adequacy of the statistical model(s) fitted to the purse-seine set-specific catch data for each 324 species.

325 More specifically, we used cubic smoothing splines (Wood, 2006) to account for possible 326 nonlinear functional form of the predictors such as PDO, vessel length and the purse seine net 327 length. The structured spatial effect of the individual purse seine set geolocations was estimated 328 in the geoGAMMs aggregated over all sampling years using a 2D Gaussian Process surface 329 with Matérn covariance kernel (Gelfand & Schliep, 2016). Group-level (or random) effect 330 structures (intercepts-only) included in the models were the identity of the 743 onboard-vessel 331 observers and the identity of the 157 vessels to account for any correlated or observer- and/or 332 vessel-specific heterogeneity in the catch rates not accounted for by the other predictors. Any 333 potential excess zero catch ("skunk" sets) was accounted for explicitly in the models by using a 334 hurdle-negative binomial model likelihood for both the silky shark and ray Bayesian distributional 335 geoGAMMs, a hurdle-lognormal likelihood for the tuna catch weight model and a zero-inflated 336 negative binomial likelihood for the whale shark catch model. The posterior samples for all 337 models were sourced from 4 chains and 2500 iterations after a warmup of 1000 iterations per 338 chain. Therefore, the posterior for each estimate comprised 10,000 samples or draws that were 339 used to derive the 95% quantile-based uncertainty intervals.

340 These distributional geoGAMMs were fit using the Stan computation engine (Carpenter 341 et al., 2017) using the brms R interface for Stan (Bürkner, 2017) but with the cmdstanr 342 backend (Gabry & Češnovar, 2022). All geoGAMMs were implemented using weakly informative 343 regularizing priors (Lemoine, 2019) with prior predictive graphical summaries used to assess 344 adequacy of the priors (Gabry et al., 2019). Model convergence was assessed using parameter-345 specific diagnostics such as multiple chain rank plots, bulk and tail effective sample size metrics 346 and a rank-based *Rhat* statistic (Vehtari et al., 2021). All diagnostics reflected convergence of all 347 models used here. Further evaluation of the best-fit-model was assessed using graphical 348 posterior predictive checks (Gelman et al., 2014; Gabry et al., 2019). All inference was then 349 based on the best-fit model.

Throughout the entire study workflow, we used the tidyverse R meta-package (Wickham et al., 2019) for data pre- and post-processing, the terra R package for spatial data processing (Hijmans, 2023), the rnaturalearth (Massicotte & South, 2023) and sf (Pebesma, 2018) R packages for sourcing the regional map data and vector based mapping, and the ggplot2 R package (Wickham, 2016) for visualizations with the *viridis* color palette
 from the colorspace R package (Zeileis et al., 2019) that was used for SHAP plots and

mapped spatial prediction surfaces. The patchwork R package (Pedersen, 2022) was used for
 all multi-panel plot layouts.

358 359

360 3. RESULTS

361362 3.1. Prior Species-specific Predictor Screening

363 The most appropriate ML algorithm to be applied to each species-specific dataset identified using AutoML was a gradient boosting machine using LightGBM (Ke et al., 2017) for the four 364 explored species (silky shark, tuna, rays, whale shark). The predictive performance for all four 365 366 metrics using LightGBM was ranked very close to that for stacked ensembles (that comprise a 367 complex mix of both best-in-each-algorithm-class and all algorithms) and far better ranking than 368 for either random forest or XGBOOST (another gradient boosting algorithm) and substantially 369 better than for either a generalized linear model (GLM) or neural nets. ML models with gradient 370 boosting-based regression or classification using the LightGBM engine were then applied to 371 each data catch set for the four species that were identified as best modelled using gradient 372 boosting by AutoML. The performance metric ranking plot for silky shark is shown as one 373 species-specific example in Figure S3. Then, set-specific SHAP-based explanations or 374 predictions were derived for each of those species and summarized in SHAP summary plots to 375 help identify the most important marginal predictor effects of species-specific catch. As one 376 example, we show the SHAP predictor summary plots for skipjack tuna, the main target species, 377 using both a Bernoulli likelihood model (0 or > 0 catch) and the landed weight of the skipjack 378 catch model. These two models combined would be equivalent to a hurdle-lognormal likelihood 379 model in inferential statistical modelling.

380 The SHAP summary plot for binary set-specific skipjack catch (0,>0) is shown in Figure 381 S4 where the top two predictors in descending order of importance were mean depth to the 382 seafloor and the purse seine net length. Increasing net length results in higher probability of 383 skipjack catch while fishing in deeper waters results in decreasing probability of any skipjack 384 catch (and hence a higher probability of a set with no captured skipjack). The SHAP summary 385 plot for set-specific skipjack catch >0 is shown in Figure S5 where the top 5 predictors were 386 purse seine net mesh size, hour of the day when the skiff was off, the specific vessel, net length 387 and the PDO index in the 12 months prior. Increasing mesh size was associated with higher 388 landed weight of skipjack and decreasing landed weight during the daytime. Importantly, this 389 ML-based predictor screening step helped to identify the minimal set of meaningful predictors 390 for this large and highly dimensional dataset for consideration in the next Bayesian regression 391 modelling step — where different predictor effects were apparent for all four species, revealed 392 using those SHAP summaries.

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394 3.2. Modelling the Expected Species-specific Catch

395 Expected silky shark catch conditioned on a minimal set of non-spatial potentially informative 396 predictors guided by the prior ML-based predictor screening is shown in Figure 2. Silky shark 397 catch increased over the 22-year period (Figure 2a). Silky shark catch was lower for anchored 398 FAD sets (Figure 2b) - moreover, lower anchored FAD catch occurred during all 4 of the 5-399 year time periods (Figure 2c), and silky shark catch was higher in drifting FAD and in other 400 associated sets than in free school sets. Silky shark catch was also a significant nonlinear function of both a major ocean productivity proxy (PDO index, Figure 2d) and the set-specific 401 402 cruise speed (higher set-specific catch increases with vessel cruise speed, Figure 2e). Silky 403 shark catch was not a function of fishing effort measured as purse seine net length (Figure 2f). The hurdle component (0 vs > 0 catch) of the distributional regression model was a nonlinear function of the purse seine mesh size (Figure 2g) — zero silky shark catch more likely a function of small mesh size. The three posterior predictive check tests for the silky shark distributional geoGAMM with hurdle-negative binomial likelihood were density overlay, maximum prediction and the expected proportion of sets with zero catch. All three predictive check tests reflected adequate silky shark model fit and are shown here as one species-specific example (Figure S6).

410 Expected tuna catch conditioned on a minimal set of non-spatial potentially informative 411 predictors is shown in Figure 3. The tuna catch was apparently stable over the 22-year period 412 (Figure 3a) but this was not the case when set type was taken into account. Tuna catch 413 increased over the 22-years for all set types other than for anchored FAD sets (Figure 3c). Tuna 414 catch was a significant nonlinear function of the time of the day when initiating a set (based on 415 skiff departure time) with lower catch apparent during the later afternoon and early evening 416 (Figure 3e). Tuna catch was not a function of either PDO (Figure 3d), fishing effort measured as 417 net length (Figure 3f) or net mesh size (Figure 3g). The hurdle component (0 vs > 0 catch) of the distributional regression model was apparently (1) not a significant nonlinear function of fishing 418 419 effort measured as net volume (Figure 3h) but was apparently (2) a function of the depth to 420 seafloor with higher likelihood of positive catch further from the coast, especially around seafloor 421 depths ca. 2000-2500m, and conversely more likely to have a tuna catch skunk set closer to the 422 coast in areas with shallower depths (Figure 3i).

Expected ray catch (combined catch of various ray species) conditioned on a minimal set of non-spatial potentially informative predictors is shown in Figure 4. The ray catch was not a significant function of the minimal set of informative predictors except perhaps for the hurdle component, where positive catch appears more likely as mesh size increases (Figure 4f).

Expected whale shark catch conditioned on a minimal set of non-spatial informative
predictors is shown in Figure S7 and was not a significant function of the minimal set of
informative predictors.

431 **3.3. Spatial Prediction Surfaces for Marine Spatial Planning**

The geolocation of the purse seine set was a more informative predictor of the catch of all four explored species (silky shark, tunas, rays, whale shark) than most of the non-spatial potentially informative predictors shown in Figures 2-4 and Figure S7. The residual spatial effects for each of the four geoGAMM-modelled species are shown in Figures 5-8.

The geospatial pattern for the silky shark catch that was conditioned on a minimal set of predictors (including set geolocation) indicates that relatively higher catch rates occurred mainly in the PNG EEZ southward in the Solomon Sea and a secondary warmspot (i.e., area with a relatively high, but not the highest, catch rate) was in the Bismarck Sea region off northern PNG centred around Manus Island. However, there were lower silky shark catch rates in the western section of the Solomon Sea and the southern Bismark Sea (Figure 5).

The tuna species geospatial catch pattern on the minimal set of predictors (including set geolocation) indicates that relatively higher model-unaccounted catch occurred in the northwestern FSM EEZ at around 10^oN and in the southeastern PNG EEZ, both in areas with relatively low fishing effort (i.e., marginal fishing grounds) (Figure 6). Tuna warmspots straddled the equator in the northwestern zone of the PNG EEZ, Nauru EEZ and western two-thirds of the Kiribati EEZ around the Gilbert Islands, with an apparent warmspot in a marginal part of the fishing grounds in the Coral Sea (Figure 6).

The geospatial pattern for the catch of ray species indicates that relatively higher catches occurred mainly in the southern section of the Solomon Sea spanning the EEZs of both PNG and the Solomon Islands. There was decreasing ray catch rates when moving north and northeast across the study area fishing grounds (Figure 7).

453 There was little residual geospatial pattern remaining for the modelled whale shark catch 454 rate except perhaps in the southern Solomon Sea in the PNG EEZ and possibly in the Coral Sea in a marginal section of fishing grounds. A possible warmspot was apparent, following a
 horizontal band slightly north of the equator within the northern PNG EEZ and zones of the
 southern FSM EEZ (Figure 8).

458 There was insufficient catch data for odontocete and hard-shelled marine turtle species, 459 so the catch geospatial pattern of these two groups was summarized using 2D hexagonal 460 binning of the mean catch rate (mean number per set) per hexagon cell to explore any apparent 461 spatial effect. Hard-shelled turtles and odontocetes were very rare capture events, where only 462 0.6% and 1.4% of sets had one or more hard-shelled turtle or odontocete capture, respectively 463 (Table 1). This explains why most 0.5 x 0.5 degree hexbins have a mean of 0 catch per set 464 (yellow areas of Figures S8, S9). Sparse hard-shelled marine turtle interactions occurred across 465 the fishing grounds, with generally lower catch rates in the PNG EEZ relative to the other zones 466 of the study area (FSM, Solomons, and Nauru EEZs and Gilbert Islands portion of the Kiribati 467 EEZ). Sparse odontocete interactions also occurred across the fishing grounds, with small 468 areas of hot and warmspots scattered throughout the study area.

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471 4. DISCUSSION

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473 **4.1. Static and Dynamic Area-based Management**

474 ABMTs hold substantial potential to balance socioeconomic benefits derived from fisheries and costs to at-risk species exposed to bycatch fishing mortality (Gilman et al., 2019a; Mannocci et 475 476 al., 2020; Lopetegui-Eguren et al., 2022). Mean field prediction surfaces defined catch rate 477 hotspots for principal market tunas, silky sharks, rays and whale sharks, informing the 478 development of candidate static spatial management strategies that reduce catch risk of at-risk 479 species without causing unacceptable costs to catch rates of target species. Focusing effort by the PNG and Philippines purse seine fishery in the western Solomon Sea and the southern 480 481 Bismark Sea, which are within the core area of the fishing grounds within the PNG EEZ, would 482 reduce overlap with catch rate hotspots for silky sharks, rays and whale sharks without affecting catch rates of target species. Furthermore, shifting effort away from the core fishing grounds in 483 484 the Bismark Sea and the Solomon Sea: (1) northwards up to but south of the equator in the 485 PNG EEZ. (2) eastwards around the equator in the Nauru EEZ and Kiribati EEZ in the Gilbert 486 Islands, and (3) into a marginal area of the fishing grounds around 10^oN in the western zone of the FSM EEZ would reduce also overlap with catch rate hotspots for silky sharks, rays and 487 488 whale sharks, and would also increase catch rates of principal market tunas. Two tuna catch 489 rate warmspots overlapped warmspots of at-risk species, for whale sharks in the northwestern 490 zone of the PNG EEZ, and for silky sharks, rays and whale sharks in the Coral Sea in the 491 southeastern PNG EEZ.

492 Additional research on the economic and operational viability of alternative static spatial 493 management strategies is a priority, particularly for proposed strategies that shift fishing effort to 494 areas that are more distant from ports for smaller vessels that make relatively short trips closer 495 to seaports in PNG. Additional research could also assess the spatial distribution of the size 496 frequency distribution of the principal market tuna catch. Decisions on fishing grounds may be 497 based in part on past behavior and habit, so that despite evidence of higher target species catch 498 rates, and of promising predictors (environmental conditions, physical features) for high catch 499 rates occurring outside historical core fishing grounds, fleet participants may be hesitant to 500 change conventional practices (Davies et al., 2014).

501 Results did not identify opportunities for temporally dynamic spatial management of 502 target and bycatch catch rates. Time of day of initiating sets was an important predictor for tuna 503 catch rate (declining after about 3pm, Fig. 2d), but not for any assessed at-risk bycatch species. 504 Previous studies that explored time of day effects on attendance at drifting FADs found that 505 target tunas and silky sharks unfortunately make excursions away from the FADs, likely to

506 forage, at similar times (mainly during the night time) (Filmalter et al., 2011; Schaefer and Fuller, 507 2013; Forget et al., 2015; Restrepo et al., 2016). Temporal predictors at scales of within a month (moon phase), season, and interannual El Nino Southern Oscillation phase did not explain any 508 509 species-specific catch rates. At a decadal scale, silky shark catch rates were higher with higher 510 PDO index values, with a 12-month lag, reflecting warmer regional SST (Houk et al., 2020). The 511 PDO is associated with north-to-south variability in SST and productivity across the tropical and 512 temperate Pacific Ocean, which can strengthen and weaken responses to ENSO phases 513 (Newman et al., 2016; Houk et al., 2020). Lags in responses in species-specific catch rates to 514 the PDO climate cycle are likely due to delays in ocean productivity, recruitment and biomass 515 responses to ocean temperature effects (Lehodey et al., 1997, 2006; Saba et al., 2007). Silky 516 sharks occur within the upper mixed layer, which extends to about 110 m in the western and central Pacific Ocean (Hutchinson et al., 2015). Variability in the vertical depth distribution of 517 518 silky sharks in response to PDO phase is not likely explained by PDO, as silky sharks likely 519 occur at shallower depths than most purse seine maximum net depths of about 200 m (Itano et 520 al., 2012) during all PDO phases. Additional research could assess whether locations of 521 species-specific catch rate-defined hotspots, warmspots and coldspots vary by climate cycle 522 phase, which could inform the design of spatially-mobile spatial management strategies where 523 fishery closed areas might vary in location during different climate cycle phases.

524 A large proportion of total odontocete captures occurred in a small number of sets with 525 relatively numerous captures of dolphin species (common dolphin, false killer whale, bottlenose 526 dolphin, striped dolphin and rough-toothed dolphin). Odontocete captures mainly occurred as 527 multiple captures per set, with 92% of the total captured odontocetes occurring in sets with ≥ 2 528 captures per set, and over half of total odontocete captures occurring in 212 outlier sets with 529 between 10 and 120 odontocete captures per set (0.2% of total sets). Real time fleet communication and move-on rules (Gilman et al. 2006; Little et al. 2015; Holland and Martin 530 531 2019) and avoiding sets on dolphin schools (unintentional and intentional) might hold potential 532 to reduce odontocete catch rates in this fishery.

Conversely, a large proportion of sets with one or more ray, turtle, whale shark or silky 533 534 shark capture had relatively few captures per set. Whale shark captures occurred primarily as singletons (1 per set), accounting for 84% of total captures. A third of ray captures occurred as 535 536 singletons, and 87% of total ray captures occurred in sets with between 1 and 10 ray captures 537 per set. Hard-shelled turtle captures also occurred primarily as singletons, with 85% of total 538 captures occurring as singletons. Half of silky shark captures occurred in sets with between 1 539 and 14 captures per set, and 30% of total silky shark catch occurred in sets with between 1 and 540 7 captures per set. Real-time spatial management approaches likely hold less promise for these 541 species with non-clustered interactions. Additional research could be conducted to determine 542 whether there is a higher probability of captures in consecutive sets (i.e., is there a higher 543 probability of a capture in a set that had a capture event in a previous set by that vessel) to 544 explore the potential of species-specific move-on rules.

545 The geospatial and vertical distributions of pelagic marine predators, and in some cases 546 distributions of different size classes and sexes within species, including when and where they 547 aggregate, are some of the attributes that determine their susceptibility to capture in tropical 548 tuna purse seine and other surface fisheries (Hobday et al., 2011). Industrial purse seine 549 fisheries targeting mainly skipjack and yellowfin tunas, as well as bigeye tuna, occur primarily in 550 the tropics of the eastern Atlantic Ocean, western Indian Ocean and eastern and western Pacific 551 Ocean (Hall & Roman, 2013). Pelagic predator distributions, local abundance and aggregating 552 behavior are defined by environmental variables such as temperature and dissolved oxygen, depth of the thermocline, and availability of their prey (Musyl et al. 2003, 2011; Lopetequi-553 554 Equren et al., 2022). Pelagic predators have different environmental preferences and tolerances 555 (Lehodey et al., 2011; Muhling et al., 2011; Brodziak and Walsh, 2013). Larval and juvenile 556 tunas have a narrower range of environmental variables in which they can live than adults, while

557 optimal temperatures are narrowest and warmest for spawning tunas (Lehodev et al., 2011; 558 Bromhead et al., 2015). Distributions and aggregation behaviors are also determined by physical features that determine biophysical structure. These features include bathymetric 559 560 structures such as shallow seamounts, reefs, shelf breaks, and islands, atolls and coastal features that create small-scale eddies and fronts (i.e., Island Mass Effect) (Worm et al., 2003; 561 562 Morato et al., 2010), as well as natural and artificial drifting and anchored floating objects, 563 discussed below. Dynamic hydrographic features also affect distributions and aggregation 564 locations, including currents and frontal systems, upwelling plumes, and eddies (Hyrenbach et 565 al. 2000; Gove et al., 2016). These static and dynamic features structure the distribution of 566 nutrients, levels of primary productivity, and the distributions and aggregations of prey species 567 of pelagic apex predators (Hyrenbach et al. 2000, Vandeperre et al. 2014, Kavanaugh et al. 568 2016).

569570 4.2. Operational Predictors

571 Catch composition varies by purse seine set type (Dagorn et al. 2013; Hall & Roman 2013; 572 Peatman et al. 2017; Pons et al., 2023). Set type was found to be an informative predictor only 573 for silky shark catch rate, which was significantly lower in anchored FAD sets than the three 574 other set types, and significantly higher in drifting FAD and in other associated sets (e.g., drifting 575 logs, drifting algae, live and dead large marine organisms, marine debris such as crates, pallets 576 and nets) than in free school sets. Summarized in the Methods section, over the study time 577 series, the fishery has increasingly conducted free school sets, making up a mean of 84% of 578 sets made annually during the most recent five years.

579 Relative to free-swimming tuna schools chasing prey, sets on relatively slower-moving 580 drifting FADs and logs catch a larger number and weight of nontarget species per set and per unit weight of target tunas (Hall & Roman 2013; Torres-Irineo et al. 2014; Gaertner et al. 2016; 581 Peatman et al. 2017; Lezama-Ochoa et al. 2017; Pons et al., 2023). Shark catch rates, in 582 583 number or weight of captures per set, are higher in drifting FAD and log sets than in free school 584 sets (Amande et al. 2008, 2010; Clarke et al., 2011; Lopetequi-Equren et al., 2022). However, 585 when applying a catch rate of the weight of caught sharks per weight of principal market tunas, shark catch rates in school and associated sets are the same order of magnitude (ISSF, 2017). 586 587 Set type is also an informative predictor of catch rates of principal market tuna species as well 588 as other at-risk species, such as higher Mobulid ray and leatherback turtle catch rates in free 589 school sets compared to associated sets (Dagorn et al. 2013; Hall & Roman 2013). Thus, 590 multispecies conflicts result from managing set type (Gilman et al., 2019b). Not assessed in this 591 study, set type is also an informative predictor of the body size of the catch, where drifting FAD 592 and other associated sets catch smaller fish, including juvenile yellowfin and bigeye tunas, 593 relative to free school sets (Dagorn et al., 2013; Fonteneau et al. 2013; Hoyle et al., 2014; 594 Restrepo et al. 2017).

595 Sets with a smaller mesh size of the main section of the net were more likely to have no 596 silky shark or ray catch. Mesh size was not an informative predictor for tunas (or whale sharks). 597 This suggests that mesh size might be a manageable operational variable to reduce by catch 598 risk of silky sharks and rays without posing a cost to economic viability. Mechanistic studies 599 have found that purse seine nets with smaller mesh sizes tend to have slower sink rates, faster 600 drifting speeds, shallower maximum depths, slower pursing speeds, and a different net geometry than nets with larger mesh sizes (Misund et al., 1992, Kim et al., 2007; Hosseini et al., 601 602 2011; Widagdo et al., 2015; Tang et al., 2019). Mesh size might be correlated with other gear 603 designs and characteristics that affect catchability (by affecting sink rate, drifting speed, fishing 604 depth, pursing speed, net geometry as well as flow interference) such as the twine material, 605 diameter and density, and net handing ratio and stiffness (Zhou et al., 2019). Purse seine nets 606 with smaller meshes might have lower catch efficiency by increasing the risk of skunk sets and 607 escapement of a portion of encircled schools. Purse seines with smaller meshes might also

have a lower risk of entangling some large species, such as documented for sharks and marine
turtles in netting used as appendages of drifting FADs (Hall & Roman, 2013; Poisson et al.,
2016; Pons et al., 2023) and for dolphins in tuna purse seines (a dolphin bycatch mitigation
method for tuna purse seine fisheries uses smaller mesh netting in the upper section of tuna
purse seine nets to reduce the risk of entanglement when fishers employ a backdown procedure

613 to release dolphins from the net, Barham et al., 1977; Hall & Roman, 2013).

Vessel cruise speed was an informative predictor for the expected silky shark catch rate. Slower vessel speeds may have a higher probability of skunk sets or catching partial schools, particularly for free school sets (Gaertner et al., 1999; Hall & Roman, 2013). And, faster vessels might have larger searching areas, increasing the probability of encountering a free swimming school or school associated with another vessel's drifting FAD or other type of drifting floating object (Gaertner et al., 1999). This operational variable is unsuitable for bycatch management because restricting vessel speed could impose a large cost to fishing efficiency.

621

622 **4.3. Input versus Output Controls**

The purse seine fishery is subject to input controls of limits on the number of fishing days, 623 624 number of vessels, number of activated and instrumented drifting FADs, and a FAD seasonal 625 closure (PNA, 2020, WCPFC, 2021), but not output limits. Therefore, a catch rate unit of catch 626 per set as employed in this study (conditioned by all predictors) is appropriate for evaluating 627 alternative bycatch management strategies, including informing spatial management options. 628 Given an objective of minimizing by catch of at-risk species, selecting fishing zones with lowest 629 at-risk species captures per set would be a suitable spatial management approach under this 630 current management framework with only input controls.

631 If output controls were used, for either or both target species and at-risk bycatch 632 species, then the ratio of at-risk to target species catch would be appropriate. Under a 633 management framework with a bycatch threshold, zones with the lowest ratio of at-risk species 634 bycatch to commercial species catch would maximize target catch within the constraints of the 635 bycatch limit. With a target species cap, zones with this same low ratio would minimize 636 threatened species catch.

637

638 4.4. Catch Data Uncertainty

639 The observer data collection methods create uncertainty in the purse seine catch records. This 640 includes selectivity bias from grab sampling to estimate the catch of target tuna species -641 however, since 2008 the observer program has employed a combination of grab and spill 642 sampling to address this selectivity bias (Lawson 2013; Hoyle et al., 2014). Methods employed 643 by observers to estimate the catch of non-target species can also introduce substantial 644 uncertainty (Hutchinson et al., 2015; Briand et al., 2018; Forget et al., 2021). For example, 645 observer sampling protocols to estimate by catch by counting non-target catch from one brail or 646 counting discards for a sample of catch sorting time and extrapolating linearly to the total 647 number of brails and to total sorting time in a set, respectively, can introduce error (Briand et al., 648 2018). Observers of the SPC/FFA Regional Observer Programme use visual inspections to 649 estimate the number and weight of bycatch species, as time permits, while sampling the target 650 tuna catch on the upper deck (Itano et al., 2019; Forget et al., 2021). The small sample of non-651 target catch may be unrepresentative of the underlying catch from the total set, and monitoring only from the upper work deck will result in undercoverage bias as small species and small 652 653 individuals within species of non-target catch may be detected primarily on the lower well deck 654 (Forget et al., 2021). Observers may have a more difficult time quantifying bycatch on vessels 655 that do not use a hopper to sort catch after brailing onto the deck before the catch goes down a 656 chute to a lower deck for sorting and storage in wells (Poisson et al., 2014; Hutchinson et al., 657 2015). The SPC/FFA Regional Observer Programme tasks observers with recording the weight 658 or number of each captured non-target species, as well as the number or weight of species of

659 special interest that are observed inside or touching the net that are not subsequently landed on 660 deck (SPC & FFA, 2018). Observers are directed to only record the number of a species that were captured when it is possible for the observer to obtain an accurate count, and observers 661 662 are to record an estimated weight only when a large volume of a species was captured (SPC & 663 FFA, 2018). As conducted previously to estimate the precision between estimates of target 664 catch through grab and spill sampling (Lawson, 2013), research to identify bias in non-target 665 species-specific observer catch estimates is a priority to produce accurate estimates of catch 666 rates and extrapolated fleetwide magnitudes, especially in purse seine fisheries with low observer coverage rates (Amande et al., 2012). Developments in fisheries electronic monitoring 667 668 systems used in purse seine fisheries might improve the accuracy of bycatch estimates (Briand 669 et al., 2018; Forget et al., 2021). 670

671 **4.5. Conclusions**

572 Static and dynamic ABMTs hold substantial potential to balance socioeconomic benefits derived 573 from fisheries and ecological costs to at-risk species exposed to bycatch fishing mortality in blue 574 water fisheries (Gilman et al., 2019a). The PNG and Philippines western Pacific purse seine 575 fishery causes bycatch mortality of several threatened species including silky sharks (Clarke et 576 al., 2018), Mobulid rays (Croll et al., 2015), dolphins (Nelms et al., 2021) and marine turtles 577 (Wallace et al., 2010, 2011).

678 This study analyzed observer program data to estimate the effect of the spatial and 679 temporal distribution of fishing effort on target and at-risk species-specific catch rates based on 680 fitting spatially-explicit generalized additive multilevel regression models within a Bayesian 681 inference framework. The findings identified areas within existing core fishing grounds where 682 hotspots for silky sharks, rays and whale sharks could be avoided without affecting target catch, and areas outside of the core fishing grounds where there are higher tuna catch rates that 683 684 would reduce the overlap with hotspots for these same at-risk species. However, the economic 685 and operational viability of these spatial management strategies, especially where effort would 686 be shifted more substantially further away from seaports, needs to be assessed.

687 Unlike for silky sharks, whale sharks, rays and turtles, a small subset of sets had
 688 disproportionately large numbers of odontocete captures. Real time fleet communication and
 689 move-on rules, and avoiding sets on dolphin schools, might be effective approaches to mitigate
 690 odontocete bycatch.

ABMTs are one of a suite of approaches to manage purse seine bycatch of at-risk 691 692 species, where an ensemble of measures is often needed to achieve objectives (Selig et al., 693 2017). Management of significant operational predictors such as set association type and mesh 694 size present additional opportunities to balance catch rates of at-risk bycatch and target 695 species. Introducing fleetwide or vessel-based output controls that effectively constrain the 696 fishery would alter the spatial management strategy to focus on zones with the lowest ratio of 697 at-risk bycatch to target tuna catch. The findings presented here on the spatial exposure of at-698 risk and target species to this western Pacific Ocean tuna purse seine fishery support the 699 development of evidence-informed policy to apply spatial management as part of an ensemble 700 of complementary bycatch management measures to meet objectives for balancing benefits 701 from target species catch with costs to at-risk bycatch species.

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703

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- 712

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714 **Supplemental Material**

715 This article includes online supplemental material. 716

717 718 **5. REFERENCES**

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1106 TABLES

Table 1. Study sample sizes, PNG and Philippines tuna purse seine fishery in the western

1108	Pacific Ocean, 109,396 sets, 2001-2022. Catch in metric tonnes for tunas and number for other
1109	species/groups. SKJ=skipjack tuna, YFT=yellowfin tuna, BET=bigeye tuna, FAL=silky shark.

Set type (N, number of sets)	Metric	SKJ	YFT	BET	FAL	Rays	Odonto- cetes	Whale sharks	Hard- shelled turtles
	Catch	950,887	563,124	20,111	80,411	6,352	2,171	564	378
Free school (69,984)	% of sets with >0 capture	46.0	40.6	5.2	15.0	4.5	0.6	0.8	0.5
	Catch per set	13.6	8.0	0.3	1.1	0.091	0.031	0.008	0.005
	Catch	273,039	72,601	18,181	23,811	973	1,004	34	77
Drifting FAD (9,498)	% of sets with >0 capture	92.2	89.4	45.7	36.9	6.0	1.8	0.4	0.8
	Catch per set	28.7	7.6	1.9	2.5	0.102	0.106	0.004	0.008
	Catch	178,670	100,071	18,842	8,056	776	1,292	7	81
Anchored FAD (13,081)	% of sets with >0 capture	86.3	87.4	37.9	16.7	3.8	1.4	0.1	0. 6
	Catch per set	13.7	7.7	1.4	0.6	0.059	0.099	0.001	0.006
Other	Catch	321,804	173,019	17,193	46,444	1,838	2,943	662	180
associated (13,238) and set type not recorded	% of sets with >0 capture	77.1	78.9	25.4	33.4	5.5	4.2	3.9	0.9
(3,595)	Catch per set	19.1	10.3	1.0	2.8	0.109	0.175	0.039	0.011
	Catch	1,724,400	908,815	74,327	158,722	9,939	7,410	1,267	716
Total (109,396)	% of sets with >0 capture	59.6	56.3	15.7	20.0	4.7	1.4	1.2	0.6
	Catch per set	15.8	8.3	0.7	1.5	0.091	0.068	0.012	0.007

1111 FIGURE CAPTIONS

1112

Figure 1. PURSE SEINE SETS. Geospatial intensity of the 109,396 sets deployed in a PNG and
 Philippines tuna purse seine fishery over a 22-year period (2001-2022), which is summarized using 2D
 hexagonal binning with 0.2-degree spatial resolution. The seaward margins of the 5 EEZs included in the
 study sample are shown by the thin black outlined polygons.

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1118 Figure 2. SILKY SHARK. Graphical summary of the Bayesian distributional geoGAMM with hurdle-1119 negative binomial likelihood fitted to the silky shark catch data. Panel a shows the estimated conditional 1120 effect of period (comprising four 5-year time periods) on the set-specific catch rate. Panel b shows the 1121 conditional effect of the purse seine set type on the catch rate. Panel c shows the conditional interaction 1122 effect of set type within each 5-year period effect. Panel d shows the conditional 12-month-lagged Pacific 1123 Decadal Oscillation index effect. Panel e shows the conditional effect of set-specific vessel cruise speed. 1124 **Panel f** shows the conditional effect of fishing effort using purse seine net length as a fishing effort proxy. 1125 Panel g shows the conditional effect of purse seine mesh size on catch for the hurdle component. Solid 1126 dot=posterior mean, vertical bar = 95% credible interval, solid curve = mean nonlinear trend, shaded 1127 polygon = 95% pointwise credible interval.

1129 Figure 3. TUNAS (skipjack, yellowfin and bigeye tunas). Graphical summary of the Bayesian 1130 distributional geoGAMM with hurdle-lognormal likelihood fitted to the combined tuna species landed 1131 weight data. Panel a shows the estimated conditional effect of period (comprising four 5-year time 1132 periods) on the set-specific catch rate. Panel b shows the conditional effect of the purse seine set type on 1133 the catch rate. Panel c shows the conditional interaction effect of set type within each 5-year period 1134 effect. Panel d shows the conditional 12-month-lagged Pacific Decadal Oscillation index effect. Panel e 1135 shows the conditional effect of time of the day when the skiff closed the purse seine net. Panel f shows 1136 the conditional effect of fishing effort using purse seine net length as a fishing effort proxy. Panel g shows 1137 the conditional effect of purse seine mesh size. **Panel h** shows the conditional effect of fishing effort using 1138 purse seine net volume as a fishing effort proxy on catch for the hurdle component. **Panel i** shows the 1139 conditional effect of ocean depth (bathymetry) on set-specific catch for the hurdle component. Solid 1140 dot=posterior mean, vertical bar = 95% credible interval, solid curve = mean nonlinear trend, shaded 1141 polygon = 95% pointwise credible interval.

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1143 Figure 4. RAYS. Graphical summary of the Bayesian distributional geoGAMM with hurdle-negative 1144 binomial likelihood fitted to the catch data for combined ray species. Panel a shows the estimated 1145 conditional effect of period (comprising four 5-year time periods) on the set-specific catch rate. Panel b 1146 shows the conditional effect of the purse seine set type on the catch rate. Panel c shows the conditional 1147 interaction effect of set type within each 5-year period effect. Panel d shows the conditional 12-month-1148 lagged Pacific Decadal Oscillation index effect. Panel e shows the conditional effect of fishing effort using 1149 purse seine net length as a fishing effort proxy. **Panel f** shows the conditional effect of purse seine mesh 1150 size on catch for the hurdle component. Solid dot=posterior mean, vertical bar = 95% credible interval, 1151 solid curve = mean nonlinear trend, shaded polygon = 95% pointwise credible interval. 1152

Figure 5. RESIDUAL SPATIAL EFFECT (silky shark). Residual spatial effect from the distributional
 geoGAMM model fitted to the silky shark catch data conditioned on various predictors over the 22-year
 period (2001-2022). Highlights any geospatial pattern in the silky shark catch not accounted for by the
 other predictors. The seaward margins of the 5 EEZs covered by this fishery shown by the thin black
 outlined polygons.

Figure 6. RESIDUAL SPATIAL EFFECT (skipjack, yellowfin and bigeye tunas). Residual spatial effect
 from the distributional geoGAMM model fitted to the combined tuna species landed weight data
 conditioned on various predictors over the 22-year period (2001-2022). Highlights any geospatial pattern
 in tuna catch not accounted for by the other predictors. The seaward margins of the 5 EEZs covered by
 this fishery shown by the thin black outlined polygons.

Figure 7. RESIDUAL SPATIAL EFFECT (ray species). Residual spatial effect from the distributional
 geoGAMM model fitted to the combined ray species catch data conditioned on various predictors over the
 22-year period (2001-2022). Highlights any geospatial pattern in ray catch not accounted for by the other
 predictors. The seaward margins of the 5 EEZs covered by this fishery shown by the thin black outlined
 polygons.

Figure 8. **RESIDUAL SPATIAL EFFECT (whale shark).** Residual spatial effect from the distributional geoGAMM model fitted to the whale shark catch data conditioned on various predictors over the 22-year period (2001-2022). Highlights any geospatial pattern in whale shark catch not accounted for by the other predictors. The seaward margins of the 5 EEZs covered by this fishery shown by the thin black outlined polygons.





silky shark catch rate PNG & PHL purse seine fisheries (2001-2022) Bayesian geoGAMM with hurdle negative binomial likelihood





ray spp catch rate PNG & PHL purse seine fisheries (2001-2022)

Bayesian geoGAMM with hurdle negative binomial likelihood











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Residual spatial effect (silky sharks) Bayesian geoGAMM with hurdle-negbinomial likelihood (2D Gaussian Process with Matérn covariance kernel)

1192 1193 1194

Figure 5



Residual spatial effect (tuna spp catch (mt)) Bayesian geoGAMM with hurdle-lognormal likelihood (2D Gaussian Process with Matérn covariance kernel)

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Residual spatial effect (ray spp catch) Bayesian geoGAMM with hurdle-negbinomial likelihood (2D Gaussian Process with Matérn covariance kernel)



Figure 7



Residual spatial effect (whale sharks) Bayesian geoGAMM with zero-inflated negative binomial likelihood (2D Gaussian Process with Matérn covariance kernel)