

1                   **Global patterns of sex- and age-specific variation in seabird bycatch**

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## ABSTRACT

38 Fisheries bycatch is a major threat to seabird populations, and understanding sex- and age-  
39 biases in bycatch is important for assessing population-level impacts of this incidental  
40 mortality. We analysed the peer-reviewed and grey literature to provide the first global  
41 assessment of seabird bycatch by sex and age, and used generalised mixed models to  
42 investigate the effects of region and fishing method. Sex- and age-biased bycatch was  
43 significantly more frequent than unbiased ratios, with the majority skewed toward males  
44 and adults. Bycatch of adults and males was significantly higher in subpolar regions,  
45 whereas there was a tendency for more immatures and females to be killed in subtropical  
46 waters. Fishing method did not appear to influence sex and age-specific bycatch. Sex- and  
47 age-biases are therefore common features of seabird bycatch in global fisheries that appear  
48 to be associated largely with differences in at-sea distributions. This unbalanced mortality  
49 influences the extent to which populations are impacted by fisheries, which is a key  
50 consideration for at-risk species. We recommend that researchers track individuals of  
51 different sex and age classes to improve knowledge of their distribution, relative overlap  
52 with vessels, and hence susceptibility to bycatch; this information should then be  
53 incorporated in ecological risk assessments of effects of fisheries on vulnerable species.  
54 Additionally, data on sex, age and provenance of bycaught birds should be collected by  
55 fisheries observers in order to identify regions and fleets where bycatch is more likely to  
56 result in population-level impacts, and to improve targeting of bycatch mitigation and  
57 monitoring of compliance.

58 Keywords: age ratio; incidental mortality; seabirds; fisheries management, sex ratio.

59

### 60 **1. Introduction**

61 Fisheries are one of the primary threats to marine biodiversity, impacting ecosystems from  
62 the open ocean to the coast, and from the poles to the tropics (Halpern et al., 2008; Jackson  
63 et al., 2001). Commercial fishing has resulted in severe and widespread ecosystem  
64 disruption primarily as a result of over-harvesting, habitat degradation and the mortality of  
65 non-target species, also called bycatch (Hall et al., 2000; Halpern et al., 2008; Jackson et al.,  
66 2001). Populations of large marine vertebrates, such as sea turtles, sharks, marine  
67 mammals, and seabirds, are particularly susceptible to bycatch because of a combination of  
68 their attraction to fishery bait and discards and their naturally slow reproductive rates  
69 rendering them sensitive to even small increases in mortality (Hall et al., 2000; Lewison et  
70 al., 2004). So much so that, the recent declines of many large marine vertebrates resulting

71 from bycatch have been compared to the historical extirpations and extinctions of  
72 terrestrial megafauna by human hunting (Lewison et al., 2014, 2004).

73 Seabirds are especially impacted, by becoming bycatch in a wide range of fishery  
74 gear-types (Croxall et al., 2012; Montevecchi, 2002). For example, drift nets set by Japanese,  
75 Korean and Taiwanese vessels were estimated to kill up to 40 million sooty (*Ardenna grisea*)  
76 and short-tailed shearwaters (*A. tenuirostris*) in the North Pacific between 1952 and 2001  
77 (Uhlmann et al., 2005). Coastal gillnet fisheries are also a major source of mortality, with  
78 >400,000 seabirds killed annually, worldwide (Žydelis et al., 2013). Global longline fisheries  
79 were estimated to kill at least 160,000, and potentially 320,000 seabirds annually, mainly  
80 albatrosses, petrels and shearwaters (Anderson et al., 2011). Trawl fisheries are also a  
81 threat, with about 9,300 birds, mostly albatrosses, estimated to be killed annually just in the  
82 waters off South Africa by wet fish trawlers (Maree et al., 2014; Sullivan et al., 2006; Waugh  
83 et al., 2008). These levels of mortality have led to severe declines in many populations and  
84 are clearly unsustainable (Croxall et al., 1998; Cuthbert et al., 2005; Delord et al., 2008;  
85 Phillips et al., 2016; Piatt and Gould, 1994; Rolland et al., 2010; Žydelis et al., 2013, 2009).

86 The impact of bycatch depends not only on the number of individuals killed, but also  
87 on the components of the population that are impacted (Bugoni et al., 2011; Lewison et al.,  
88 2012). For example, because seabird life histories are characterised by delayed maturation,  
89 high survival and low rates of reproduction, mortality of adults will have greater  
90 population-level impacts than mortality of immatures (Lewison et al., 2014). Moreover,  
91 because seabirds are monogamous, with obligate biparental care, sex-biased mortality in  
92 fisheries can reduce the effective population size (Mills and Ryan, 2005; Weimerskirch et  
93 al., 2005). Sex- and age-biases in seabird bycatch are reported in a number of fisheries  
94 (Awkerman et al., 2006; Gales et al., 1998; Ryan and Box-Hinzen, 1999; Stempniewicz,  
95 1994), and there has been a review of adult sex-ratios (ASR) in bycatch of albatrosses and  
96 petrels (Bugoni et al., 2011). However, there has been no comprehensive review of sex- and  
97 age-biases in bycatch of seabirds in general, even though a better understanding of their  
98 nature and extent is required to determine the full impact of bycatch on populations and  
99 communities. Indeed, this has been identified as one of the highest priority research  
100 questions in the field of seabird ecology and conservation (Lewison et al., 2012; Phillips et  
101 al. 2016).

102 Accordingly, the aim of the current study is to provide the first global review of age-  
103 and sex-specific bycatch in seabirds. This will contribute towards a better understanding of  
104 the frequency and magnitude of these effects across taxa, regions and fishery gear-type, as  
105 well as the implications for management and conservation. We predict that larger and more  
106 dominant individuals, usually adult males, will have higher bycatch rates than adult females,

107 or younger birds of either sex, because they are better able to compete for discards and bait  
108 while attending fishing boats (Bregnballe and Frederiksen, 2006; Croxall and Prince, 1990;  
109 Montevecchi, 2002). However, bycatch rates will also be influenced by region. Many studies  
110 have shown that females and immatures tend to travel further from their breeding sites, or  
111 to lower latitudes, compared with males and adults (Hamer et al., 2002; Hedd et al., 2014;  
112 Phillips et al., 2005, 2004). Therefore, because the majority of seabirds breed at high  
113 latitudes (Burger, 2002) we broadly predict that bycatch in subpolar (sub-Arctic and sub-  
114 Antarctic) areas will tend to be skewed towards males and adults, whereas in subtropical  
115 regions, bycatch will be biased towards females and immatures.

116

## 117 **2. Methods**

### 118 **2.1. Literature review**

119 We reviewed the literature for studies reporting sex and age composition of seabird bycatch  
120 in fisheries from around the world. We searched *Thomson Reuters Web of Science* and *Google*  
121 *Scholar* using the following search terms: Topic = (seabird\* OR albatross\* OR petrel\* OR  
122 penguin\* OR shearwater\*) AND (sex OR age OR female OR male OR adult OR juvenile) AND  
123 (fishery\* OR bycatch OR mortality) AND (bias); Timespan = All Years. To ensure the best  
124 possible coverage of the bycatch literature, we supplemented this with grey literature and  
125 contacted a number of experts directly to alert us to any missing references and to access  
126 unpublished studies. Only the studies reporting sex or age composition from samples of  
127 more than 10 individuals per species were included. We used the term 'immature' to refer  
128 to birds of any age below age of first breeding.

129 Authors utilized different methods for sexing including examination of gonads  
130 through necropsies (e.g. Petersen et al., 2010; Thompson et al., 2010), molecular sexing (e.g.  
131 Burg, 2008; Jiménez et al., 2015b) and ring recoveries of known-sex individuals (e.g.  
132 Jiménez et al., 2015a). Birds were aged on the basis of plumage and bill morphology (e.g.  
133 Cardoso et al., 2011; Jiménez et al., 2015b; Neves and Olmos, 1997), or ring details for  
134 known-age individuals (Awkerman et al., 2006; Jiménez et al., 2015a; Österblom et al.,  
135 2002). To aid interpretation, we classified each sample of seabird bycatch according to the  
136 magnitude of sex- and age-bias: highly biased ( $\geq 80\%$  belonging to one sex or age class);  
137 biased (60% - 79%) and not biased (40% - 59%). The sampling unit for bycatch data refers  
138 to the information for each taxon caught in a particular gear type in each study. When  
139 possible, bycatch rates were separated by region and season (summer/winter).

140 To aid comparison across regions, the global oceans were initially divided into five  
141 major zones: sub-Antarctic, subtropical southern hemisphere, tropical, subtropical  
142 northern hemisphere and sub-Arctic. For the purpose of this study, sub-Arctic and sub-

143 Antarctic zones also included the adjacent temperate waters. Thus, sub-Antarctic and sub-  
144 Arctic regions mostly comprise waters between 60° and 40° of latitude (average sea surface  
145 temperature (SST) 0°–18° C), subtropical in both hemispheres between 40° and 20° of  
146 latitude (average SST 18°–24° C), and tropical between 20° S and 20° N (average SST >25°  
147 C). The exception was in the southern hemisphere, where cold water masses extend as far  
148 north as 30° S off the west coast of South America and to the south and south-west of  
149 Australia, which were included in sub-Antarctic waters (Figure 1). In modelling the regional  
150 effects on the sex- and age-ratios of seabird bycatch, sub-Antarctic and sub-Arctic areas  
151 were combined in “subpolar”, and subtropical waters of both hemispheres combined in  
152 “subtropical”.

153 We obtained data from a diverse range of fisheries, including pelagic and demersal  
154 longlines, gillnets, trawlers and pound nets (shallow water nets attached to poles to create  
155 a funnel). Our sample, however, was dominated by two main gear types and variations  
156 therein: longline (pelagic and demersal) and gillnet. Longlines primarily kill surface-feeding  
157 birds attracted to baited hooks near the surface, while gillnets mainly entrap pursuit divers  
158 and bottom feeders (Anderson et al., 2011; Žydelis et al., 2013).

159

## 160 **2.2.Data analysis**

161 We first compared the number of biased with non-biased (sex and age, respectively)  
162 bycatch samples using contingency tables, specifically, chi-squared tests with Yate’s  
163 correction for continuity due to only one degree of freedom. We then tested for the effects  
164 of region (subpolar vs subtropical) and fishery type (longline vs gillnet) on the age and sex  
165 ratios of seabird bycatch with generalised linear mixed models, using the *lmer* function of  
166 the *lme4* package in R (R Core Development Team, 2011; Zuur et al., 2009). The proportion  
167 of males or adults was used as the response variable for sex and age-bias respectively, using  
168 a *Gaussian* error distribution. Due to the unbalanced geographical distribution of fishery  
169 type data we also tested the effect of the four major fishery gear-types (pelagic longlines,  
170 demersal longlines, gillnets and trawlers) within the subpolar region – this was the only  
171 region with comparable data among fisheries. All models included species as a random  
172 effect to account for inclusion in multiple datasets, and were weighted by the sample size,  
173 which, for the purpose of this analysis, was the number of birds of each taxon caught in a  
174 particular gear type in each study. We tested the effect of region and fisheries on sex and  
175 age proportions separately. Models were compared using second-order Akaike Information  
176 Criterion (AICc), where the best model is taken to be that with the lowest AICc value. AICc  
177 differences of <2 are not considered to be meaningfully different (Zuur et al., 2009). In this  
178 case, the model with the fewest parameters was selected through parsimony.

179

### 180 **3. Results**

181 We found 43 studies, published between 1990 and 2015, that reported sex and age  
182 composition of seabird bycatch in fisheries, of which 34 (79%) were in the southern  
183 hemisphere and 9 (21%) in the northern hemisphere (Figure 1, Table 1). Data were  
184 available from four main types of fishery: 13 studies for pelagic longline (30%), nine for  
185 demersal longline (21%), nine for gillnet (21%), two for trawlers (5%), two for intentional  
186 catch, gillnet and longline combined (5%), and a single study (2%) reported data from  
187 pound nets. In addition, seven (16%) studies reported data for more than one fishery,  
188 separated according to gear type (trawler, demersal and pelagic longline, and gillnet).

189 Availability of data from each fishery type was not equally distributed,  
190 geographically. For example, 82% (n = 16) of the demersal longline and 100% (n = 8) of the  
191 trawl fisheries samples came from the sub-Antarctic region, 50% (n = 25) of the pelagic  
192 longline samples from subtropical waters of the southern hemisphere, and 84% (n = 15) of  
193 the gillnet samples from sub-Arctic and adjacent subtropical waters combined (Figure 2).

194 The bycatch data with information on sex and age composition comprised 18,263  
195 individuals of 39 seabird taxa, including 13 which are globally threatened: 14 albatrosses  
196 (Diomedidae), nine petrels and shearwaters (Procellariidae), six sea ducks (Merginae),  
197 three penguins (Spheniscidae), two gulls (Laridae), two alcids (Alcidae), one grebe  
198 (Podicipedidae) and two cormorant/shags (Phalacrocoracidae) (Appendix B).

199

#### 200 **3.1. Sex-specific bycatch**

201 Of 121 samples of sex ratios in seabird bycatch, 43 (35%) were unbiased, 56 (47%)  
202 were male-biased (including 20 that were highly biased), and 22 (18%) were female-biased  
203 (including five that were highly biased) (Figure 3A, Appendix A). The number of sex-biased  
204 bycatch samples was significantly higher than the number of non-biased ( $\chi^2_{\text{Yates}} = 10.208, P$   
205  $= 0.001$ ), and the number of samples skewed towards males was significantly higher than  
206 that skewed towards females ( $\chi^2_{\text{Yates}} = 13.299, P < 0.001$ ).

207 The geographical distribution of the highly sex-biased bycatch samples ( $\geq 80\%$  of  
208 one sex) is presented in Figure 4. There was a significant effect of region on the sex ratios  
209 (Tables 2 and 3), with a higher mean proportion of males caught in fisheries in subpolar  
210 areas, and the opposite pattern (i.e. more females caught) in subtropical waters (Figure 5-  
211 A). There was no significant difference in sex-ratios of seabird bycatch in gillnets or  
212 longlines (Figure 5-B, Tables 2 and 3). However, when modelling the effect of the four major  
213 fishery gear-types within the subpolar region only, there was a significantly higher  
214 mortality of males in trawl fisheries (Figure 6-B, Table 4).

215

### 216 **3.2. Age-specific bycatch**

217 Of 114 samples reporting age composition of seabird bycatch, nine (8%) were unbiased, 78  
218 (68%) were skewed towards adults (62 were highly biased) and 27 (24%) were biased  
219 towards immatures (12 were highly biased) (Figure 3B, Appendix A). Age-biased bycatch  
220 was therefore widespread across global fisheries, accounting for 92% of reports ( $\chi^2_{\text{Yates}} =$   
221 79.167,  $P < 0.0001$ ), with the frequency of adult-biased samples significantly larger than  
222 immature-biased ( $\chi^2_{\text{Yates}} = 23.810$ ,  $P < 0.0001$ ).

223 The geographical distribution of the highly age-biased bycatch samples ( $\geq 80\%$  of  
224 one age class) is presented in Figure 8. There was a significant effect of region on the age  
225 ratios of seabirds killed in fisheries (Tables 2 and 3); a higher proportion of adults were  
226 bycaught in subpolar regions, and a higher proportion of immatures bycaught in subtropical  
227 waters, although the latter difference was non-significant (Figure 5-C, Tables 2 and 3). In  
228 terms of fishery type effects, there was a higher mortality of adults in longline fisheries but  
229 no difference in age ratios of seabird bycaught in gillnets (Figure 5-D, Table 3). There was  
230 also a significant interaction between region and fishery method, with the regional effect  
231 influencing the sex-ratio of seabird bycaught in longlines but not in gillnets (Table 2, Figure  
232 7). When modelling the effect of the four major fishery types in subpolar region only, there  
233 was a significantly higher mortality of adults in demersal longline and trawl fisheries  
234 compared with other gears (Figure 6-D, Table 4).

235

236

## 237 **4. Discussion**

238 Sex- and age-biases in seabird bycatch have been reported in a number of fisheries  
239 (Delord et al., 2005; Gales et al., 1998; Nel et al., 2002; Phillips et al., 2010; Ryan and Box-  
240 Hinzen, 1999), and there is growing interest in both the underlying mechanisms and the  
241 potential demographic consequences (Bugoni et al., 2011; Lewison et al., 2012). Here we  
242 provide the first global synthesis of both sex and age-specific variation in seabird bycatch  
243 rates by different fisheries and in diverse regions. Overall, our study shows that there is  
244 strong variation in the sex and age ratios of bycatch, but that unbalanced sex and age  
245 proportions are the most common pattern. Additionally, we demonstrate that sex- and age-  
246 biases vary by region but, apparently, not by fishery type. Globally, male-biased bycatch was  
247 significantly more frequent (47%) than female-biased bycatch (18%), and adult-biased  
248 (68%) significantly more frequent than immature-biased (24%). These results are  
249 consistent with our *a priori* prediction that the dominance of males and adults foraging  
250 behind fishing vessels will lead to higher bycatch. However, there are regional differences

251 in the patterns of age- and sex-biased bycatch, as well as a disproportionately high number  
252 of studies from sub-polar waters (68%), indicating that foraging despotism alone does not  
253 explain the observed patterns. Possible mechanisms contributing to unbalanced age and sex  
254 mortality, as well as its demographic effects, and implications for management and  
255 conservation, are discussed below.

256

#### 257 **4.1. Sex-specific bycatch**

258 Although offspring sex-ratios in seabirds can vary with age, quality and timing of  
259 breeding (Blanchard et al., 2007; Velando et al., 2002; Weimerskirch et al., 2005), there is  
260 little evidence for any consistent sex-biases at hatching or recruitment at the population  
261 level (Awkerman et al., 2007a; Bregtanole and Thibault, 1995; Donald, 2007; Weimerskirch  
262 et al., 2005). Therefore, the patterns observed here indicate that sex-skewed bycatch is  
263 unlikely to be due to the underlying population sex-ratio. Hypotheses proposed to explain  
264 sex-related vulnerability to bycatch include sex-specific differences in at-sea distribution,  
265 and differential access to bait and discards related to sexual size-dimorphism and  
266 aggression (Barbraud *et al.*, 2012; Bugoni *et al.*, 2011; Nel et al., 2002; Ryan and Box-Hinzen;  
267 1999).

268 It has been suggested that the competitive advantage of males foraging behind  
269 fishing vessels explains male-biased bycatch events (Awkerman et al., 2006; Ryan and Box-  
270 Hinzen, 1999); however, there is little direct evidence to support this. Instead, in multi-  
271 species foraging aggregations, differences in body size between taxa are more important  
272 determinants of access to feeding opportunities than *sex per se* (Bugoni et al., 2011; Jimenez  
273 et al., 2012; Stauss et al., 2012; Votier et al., 2013). In contrast, sex-biased bycatch appears  
274 to be much better explained by sex-specific differences in distribution. For instance, in the  
275 southern hemisphere, our analysis showed a significantly higher frequency of male-biased  
276 bycatch in sub-Antarctic areas, but the opposite pattern in subtropical waters; this is  
277 consistent with tracking and stable isotope results from a number of different sub-Antarctic  
278 seabird species during the breeding season, showing that females tend to forage farther  
279 from the colony and spend more time in subtropical waters compared with males (e.g. Hedd  
280 et al., 2014; Jiménez et al., 2015; Phillips et al., 2011, 2005, 2004; Thiers et al., 2014;  
281 Weimerskirch et al., 2014). In the northern hemisphere, where the bycatch data was more  
282 taxonomically heterogeneous and the sample size much smaller (15 species within 28  
283 samples, 23%); overall patterns of sex-biased bycatch are less clear. Nevertheless,  
284 differential distribution at sea has also been identified as a cause of male-biased mortality  
285 of common guillemot (*Uria aalge*) in coastal gillnets of Monterrey Bay (California) (Nevis et  
286 al., 2004), and for the male-skewed bycatch of both Laysan (*Phoebastria immutabilis*) and



287 black-footed (*P. nigripes*) albatrosses off Alaska compared with the relatively balanced or  
288 female-biased sex-ratios of birds bycaught in Hawaiian waters (Beck et al., 2013). In the  
289 Baltic Sea, the sex-ratios of diving ducks bycaught in gillnets largely reflects the sex  
290 proportions observed in their wintering grounds (Stempniewicz, 1994), supporting the  
291 hypothesis of differential distribution as the main driver of observed bycatch bias.  
292 Therefore, the overall predominance of males in seabird bycatch that we found in our study  
293 probably reflects the higher proportion of samples obtained in subpolar areas (68%), where  
294 the seabird mortality tend to be skewed toward males.

295

#### 296 **4.1.1. Demographic effects of sex-specific bycatch**

297

298 The immediate effect of sex-biased bycatch could lead to skewed adult sex ratios  
299 and thus a reduction in effective population size (Donald, 2007; Millis and Ryan, 2005;  
300 Weimerskirch et al., 2005). For example, long-term demographic studies of wandering,  
301 black-browed *Thalassarche melanophris* and waved albatrosses *Phoebastria irrorata* all  
302 indicate sex-biases in survival that may be related to sex-specific bycatch (Arnold et al.,  
303 2006; Awkerman et al., 2006; Croxall et al., 1998; Weimerskirch and Jouventin, 1987).  
304 Nonetheless, it is very difficult to measure directly the demographic impact of sex-skewed  
305 mortality in fisheries because: (1) until now, there was a lack of systematic sex-specific  
306 seabird bycatch data across global fisheries; (2) there is often uncertainty about the  
307 provenance of bycaught birds, making it difficult to directly link events at sea with  
308 demographic monitoring on land; (3) there is a paucity of long-term demographic studies  
309 across multiple taxa and locations (Anderson et al., 2011; Lewison et al., 2012, 2004; Žydelis  
310 et al., 2013); and (4) uncertainty about other factors influencing sex differences in survival,  
311 such as relative predation risk, or sex-specific costs of reproduction, variation in feeding  
312 strategies, or engagement in aggressive interactions (Donald, 2007; Weimerskirch et al.,  
313 2005). Mills and Ryan (2005) modelled the impact of sex-biased bycatch in wandering  
314 albatross and showed that even moderate increases in female mortality (2-4% per year)  
315 reduces fecundity by 9–27% compared with unbiased mortality. This effect may explain the  
316 steep decline in the wandering albatross at South Georgia (Croxall et al., 1998; Croxall and  
317 Prince, 1990; Jiménez et al., 2015a; Poncet et al., 2006). Population-level effects of sex-  
318 biased bycatch have been observed in situations where there is reliable information on both  
319 bycatch rates and demographic traits. For example, in the waved albatross, which breeds  
320 almost entirely on Española Island (Galápagos Islands), there is a skew in the adult  
321 population towards females as a result of the strongly male-biased mortality (82%) in  
322 artisanal fisheries off Peru and Ecuador (Awkerman et al., 2007a, 2006). In the wandering

323 albatross at Possession Island (Crozet), the lower survival of females, attributed to higher  
324 mortality in pelagic longline fisheries, has also led to a male-biased population  
325 (Weimerskirch et al., 2005), and the same is expected at South Georgia where females have  
326 a greater overlap with tuna fisheries in subtropical waters and consequently are bycaught  
327 more frequently than males (Jiménez et al., 2015a). Distorted sex ratios can also result in  
328 indirect effects on population dynamics, including unexpectedly high rates of extra-pair  
329 paternity in female-biased populations (Huyvaert et al., 2000), or reduced breeding success  
330 due to aggressive nest intrusions by unpaired males resulting in egg loss and infanticide in  
331 male-biased populations (Anderson et al., 2004; Taylor et al., 2001). These indirect effects,  
332 in tandem with the reduction of effective population size, can potentially increase the  
333 deleterious effects of bycatch on seabirds.

334

#### 335 ***4.2. Age-specific bycatch***

336 Our review suggests that age-skewed seabird bycatch is common across global  
337 fisheries, with 68% of the bycatch samples skewed towards adults and 24% skewed  
338 towards immatures. The overall higher mortality of adults seems to agree with our initial  
339 prediction that dominant adults would outcompete immatures for foraging opportunities  
340 behind fishing vessels (Croxall and Prince, 1990). However, we must be cautious  
341 interpreting this result because the higher mortality of adults may largely reflect the typical  
342 age structure of seabird populations, which tend to be characterized by more adults than  
343 immatures (Nur and Sydeman, 1999). Detailed information on age-structure is lacking for  
344 most species and population. Nevertheless, strong biases in both adult and immature  
345 bycatch suggest some extrinsic factors are in operation, and not that bycatch rates simply  
346 reflect the natural age ratios.

347 The degree to which different age classes interact with fisheries is not well  
348 understood (Lewison et al., 2012). However, some authors have proposed that juveniles  
349 may be more susceptible to bycatch because they favour scavenging over natural foods that  
350 may be more difficult to catch, or because of their naivety in avoiding fishing gear (Fayet et  
351 al., 2015; Lewison et al., 2012; Shealer, 2002,). Indeed, lack of experience while foraging  
352 around nets was considered to be the main reason for immature-biased mortality of  
353 pursuit-diving seabirds (Bregnballe and Frederiksen, 2006; Österblom et al., 2002).  
354 However, this is not a consistent pattern - in our study, 50% of the 12 samples of pursuit-  
355 diving seabirds of known age class drowned in nets were skewed towards adult, whereas  
356 33% were skewed towards immatures. Naivety of young birds has also been suggested as  
357 the explanation for immature-skewed mortality in longlines, and potentially by trawlers

358 (Gales et al., 1998; Prince et al., 1994), but, again, this pattern was not supported by our  
359 data, which shows a large proportion of adults bycaught in these fisheries.

360         Based on the available data we suggest that a degree of spatial segregation at-sea by  
361 age is a better explanation for the observed age-specific susceptibility to bycatch, rather  
362 than differences in competitive capacity or experience in avoiding fishing gear. In the  
363 southern hemisphere, immature birds disperse further north and spend more time in  
364 subtropical waters, whereas adults (especially breeders) are more likely to stay in sub-  
365 Antarctic waters (Bugoni and Furness, 2009; Catry et al., 2013; Olmos, 1997; Phillips et al.,  
366 2005; Sullivan et al., 2004; Waugh et al., 1999; Weimerskirch et al., 2014, 2006). This pattern  
367 seems to be reflected in the significantly higher mortality of adults in subpolar areas in our  
368 analysis, which mainly resulted from the consistent adult-biased bycatch in diverse  
369 fisheries across sub-Antarctic waters (Bartle, 1991; Box-Hinzen, 1999; Gales et al. 1998, Nel  
370 et al., 2002; Robertson and Bell, 2002; Ryan and Gandini, 1999; Seco-Pon et al., 2007;  
371 Thompson, 2010). On the other hand, in subtropical areas, where there are often more  
372 immatures (Copello et al. 2013, Phillips et al., 2006, 2005; Weimerskirch et al., 2014), our  
373 analyses indicated more samples biased to this younger age class (43%) in comparison to  
374 fisheries in sub-Antarctic waters (17%). Although the proportion of immatures bycaught in  
375 subtropical areas is highest during the summer (up to 100%), when most adults return to  
376 their breeding areas (Ryan et al., 2002; Petersen et al., 2010), immatures can also  
377 predominate in bycatch during winter (Gales et al., 1998; Murray et al., 1993; Neves and  
378 Olmos, 1997; Roma et al., 2009). The significantly higher bycatch of adults in trawlers and  
379 demersal longline among fisheries within the subpolar region is likely to reflect the same  
380 large scale pattern, since those fisheries operate on shelf and slope waters closer to colonies  
381 (Bartle, 1991; Gandini et al., 1999; Nel et al. 2002; Robertson et al. 2003, 2004), while  
382 pelagic longline operates in much more pelagic waters and at lower latitudes of the subpolar  
383 region (Beck et al., 2013, Gales et al. 1998; Thompson 2010). This age-specific mortality  
384 associated with differential distribution at sea is not exclusive to albatrosses and petrels of  
385 the southern hemisphere. A range of other species in both hemispheres, including diving  
386 ducks, penguins, alcids, fulmars and gulls showed regional and seasonal patterns of age-  
387 specific bycatch likely to reflect differential overlap with fisheries; these seem to be linked  
388 to age-related differences in distribution as a consequence of breeding constraints, moult  
389 cycles, migration or age-specific foraging strategies (Cardoso et al., 2011; Gandini et al.,  
390 1999; Nevins et al., 2004; Phillips et al., 2010; Stempniewicz, 1994; Thompson et al., 1998;  
391 Votier et al. 2011).

392

#### 393 ***4.2.1. Demographic effects of age-specific bycatch***

394

395 Globally, mortality of adults in fisheries is thought to have deleterious effects not just on  
396 albatrosses and petrels, but also diving ducks, alcids and penguins (Cardoso et al, 2011;  
397 Darby and Dawson 2000, Smith and Morgan, 2005, Thompson et al., 2010a, 2010b, 1998;  
398 Źydelis et al., 2009). In many cases, there is insufficient data on bycatch rates of different  
399 age classes to accurately estimate the impacts of, or predict the response to, potential  
400 management regimes (including changes in fishing effort distribution, or bycatch  
401 mitigation). These problems are exacerbated in the absence of robust data on demographic  
402 rates, particularly given the difficulties of disentangling effects of mortality in fisheries from  
403 other factors affecting breeding populations, such as environmental changes, depletion of  
404 prey stocks, introduced predators, habitat deterioration etc.

405 Although adult mortality has the most immediate and pronounced negative effect,  
406 chronic mortality of immatures can reduce recruitment below the minimum level needed  
407 to maintain population stability (Nur and Sydeman, 1999; Prince et al., 1994, Sherley et al.  
408 2015). Even though juvenile survival in seabirds is normally lower than adults (Nur and  
409 Sydeman, 1999), there is evidence that fisheries have reduced immature survival rates of  
410 some species. For example, negative trends in juvenile survival of black-browed albatross  
411 from South Georgia are correlated with increase in pelagic longline fishing effort off South  
412 Africa (Arnold et al., 2006; Croxall et al., 1998; Tuck et al., 2003), which is the primary  
413 destination of young birds from that population (Birdlife International, 2004; Phillips et al.  
414 2005). Therefore, the higher susceptibility of juveniles to bycatch in pelagic longline  
415 fisheries, which are the most widespread and largest-scale of those operating in subtropical  
416 waters of the southern hemisphere (Anderson et al., 2011; Tuck et al., 2003), may be  
417 contributing to the low immature survival of several other albatross species in the Southern  
418 Ocean (ACAP, 2010a, 2010b, 2009; Prince et al., 1994; Croxall et al., 1998). Indeed, high  
419 mortality of immatures in fisheries resulting in depressed recruitment levels appears to  
420 have been a major contributor to the decline of both black-browed and grey-headed  
421 albatrosses *Thalssarche chrystoma* at South Georgia (Prince et al., 1994).

422

### 423 **4.3. Implications for Management and Conservation**

424

425 Seabirds are the most threatened group of birds; nearly half of the 346 species are  
426 known or suspected to be in decline, with one third threatened with extinction, including  
427 17 critically endangered, 35 endangered, 49 vulnerable and 37 near threatened (Croxall et  
428 al., 2012) and there has been a 70% decline in monitored populations between 1950 and  
429 2010 (Paleczny et al. 2015). Bycatch was identified as one of the two greatest threats, and

430 the most pervasive threat at sea (Croxall et al., 2012). Hence, given the frequency and  
431 magnitude of both sex- and age-bias in seabird bycatch, and the demographic implications,  
432 we strongly recommend much improved data collection on the age and sex of birds killed  
433 by fisheries in on-board observing programs at national and international level. The latter  
434 requires concerted and coordinated action by Regional Fishery Management Organisations  
435 (RFMOs). In addition to increased onboard observation effort, it is necessary to implement  
436 standardized protocols for retrieving carcasses and collecting biological samples to provide  
437 high quality information on seabird bycatch; including accurate information on sex  
438 (Fridolfsson and Ellekrer 1999, Bugoni and Furness 2009), ageing (Bugoni and Furness  
439 2009, Thompson et al. 2010), and improved attempts to identify provenance (Abbot et al.  
440 2006, Gómez-Díaz & González-Solís 2007, Abbot et al. 2014). Moreover, tracking studies  
441 that map the distributions and hence overlap with specific vessels of birds of different age  
442 class and sex, throughout the year, will greatly improve ecological risk assessments  
443 (Komorose and Lewison 2015). Likewise, integrated population models testing the effects  
444 of fisheries on seabird demography must take sex- and age-specific differences in bycatch  
445 rates into account (Lewison et al. 2012). Together, this information can then be used by  
446 regional (i.e. national action plans) and international conservation initiatives (including the  
447 Agreement on the Conservation of Albatrosses and Petrels, ACAP), as well as local fisheries  
448 management organizations and RFMOs to identify regions and fleets where more  
449 prescriptive mitigation measures should be applied, and monitoring of compliance needs to  
450 be more intensive, to prevent severe impacts of bycatch on seabird populations (Croxall et  
451 al., 2013; Phillips, 2013; Phillips et al. 2016).

452

## 453 **5. Conclusion**

454

455 Sex and age biases in seabird bycatch are common features across global fisheries,  
456 mainly related to differential at-sea distributions. Overall, bycatch of adults and males was  
457 higher in subpolar regions and closer to the colonies, whereas immatures and females were  
458 more frequent caught in and subtropical waters, confirming our predictions. Moreover, we  
459 found no compelling evidence that differences in sex and age ratios are related to the  
460 competitive advantage of males and adults foraging at fishing vessels. We found clear  
461 evidence that such differences have implications for populations that differ from those of  
462 unbiased mortality. Despite this, the need to ensure information is obtained on sex and age  
463 of bycaught birds has been largely neglected by on-board observing programs in national  
464 and international fisheries. Given the ubiquity and population-level consequences of such

465 biases, we believe that global efforts to map bycatch and understand their impacts (e.g.  
466 Lewison et al., 2014) should include age and sex information wherever possible.

467

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476

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906 **Table 1.** List of the studies (n = 43) reporting sex and age composition of seabird bycaught  
907 in fisheries, with information on the number of taxa with data (N), type of fishery (PLL,  
908 pelagic longline; DLL, demersal longline; TRA, trawler; GIL, gillnet; PND, pound net; INT,  
909 intentional), region (SUBANT: sub-Antarctic; SUBARC: sub-Arctic; SUBTRS, subtropical  
910 southern; SUBTRN, subtropical northern; TROPIC, tropical), area, and year of the bycatch.

Reference	N species	N sexed	N aged	Fishery	Region	Area	Bycatch year
Jiménez et al. (2015a)	1	49	116	PLL	SUBTRS	Uruguay	1999-2012
Jiménez et al. (2015b)	1	28	28	PLL	SUBTRS	Uruguay	2008-2011
Beck et al. (2013)	4	822	694	DLL, PLL	SUBARC, SUBTRN	Hawaii, Alaska	2007-2013
Trebilco et al. (2011)	1	233	89	PLL	SUBTRS	Australia	2001-2006
Cardoso et al. (2011)	1	0	20	GIL	SUBTRS	Brazil	2009
Phillips et al. (2010)	4	365	348	DLL	SUBARC	Alaska	2005
Petersen et al. (2010)	3	935	1175	PLL	SUBTRS	South Africa	1998-2005
Delord et al. (2010)	1	5189	0	DLL	SUBANT	Kerguelen	2003-2006
Thompson (2010a)	7	312	327	PLL, TRA	SUBANT, SUBTRS	New Zealand	2006-2007
Thompson (2010b)*	7	361	367	PLL, TRA	SUBANT, SUBTRS	New Zealand	2008-2009
Thompson et al. (2009)	4	308	311	DLL, PLL, TRA	SUBANT	New Zealand	2005-2006
Roma (2009)	1	100	67	PLL	SUBTRS	Brazil	2006-2008
Burg (2008)	1	27	0	PLL	SUBANT, SUBTRS	New Zealand	1997

Seco-Pon et al. (2007)	2	74	18	DLL	SUBANT	Argentina	2005
Gandini and Frere (2006)	2	31	0	DLL	SUBANT	Argentina	200-2001
Awkerman et al. (2006)	1	33	0	PLL, GIL, INT	TROPIC	Peru	2005
Bregnballe and Frederiksen (2006)	1	0	289	PND	SUBARC	North Sea	1983-1992
Jiménez-Uzcátegui et al. (2006)	1	0	40	PLL, GIL, INT	TROPIC	Peru	1998-2006
Delord et al. (2005)	1	379	0	DLL	SUBANT	Kerguelen	2001-2003
Smith and Morgan (2005)	2	205	205	GIL	SUBARC	NE Pacific	1995-2001
Nevins (2004)	1	785	785	GIL	SUBTRN	California	1999-2000
Robertson et al. (2004)*	5	643	646	DLL, PLL, TRA	SUBANT, SUBTRS	New Zealand	2001-2002
Robertson et al. (2003)*	9	898	1033	DLL, PLL, TRA	SUBANT, SUBTRS	New Zealand	2000-2001
Reid et al. (2004)	1	17	0	DLL	SUBANT	Falklands	2001-2002
Ryan et al. (2002)	2	0	50	PLL	SUBTRS	South Africa	1998-2000
Nel et al. (2002)	4	962	241	DLL	SUBANT	Prince Edward	1996-2000
Osterblom et al. (2002)	1	0	765	GIL	SUBARC	Baltic Sea	1972-1999
Darby and Dawson (2000)	1	42	42	GIL	SUBANT	New Zealand	1979-1997
Robertson (2000)*	4	161	161	PLL,TRA	SUBANT, SUBTRS	New Zealand	1998
Robertson and Bell (2002)	4	150	153	BLL, PLL, TRA	SUBANT, SUBTRS	New Zealand	1998-1999
Ryan and Box-Hinzen (1999)	4	388	367	DLL	SUBANT	Prince Edward	1996-1997
Gandini et al. (1999)	1	31	0	TRA	SUBANT	Argentina	1995-1997
Simeone et al. (1999)	1	0	663	GIL	SUBANT	Chile	1991-1996
Thompson et al. (1998)	2	322	322	GIL	SUBARC	NE Pacific	1994-1994
Gales et al. (1998)	8	407	407	PLL	SUBANT, SUBTRS	Australia	1988-1995
Barnes et al. (1997)	1	33	0	DLL	SUBTRS	South Africa	1994
Neves and Olmos (1997)	2	50	50	PLL	SUBTRS	Brazil	1994-1995
Langston and Rohwer (1995)	2	308	308	GIL	SUBTRN	North Pacific	1990-1991
Stempniewicz (1994)	7	1149	1149	GIL	SUBARC	Baltic Sea	1972-76, 86-90
Murray et al. (1993)	4	100	105	PLL	SUBANT, SUBTRS	New Zealand	1988-1992
Bartle (1991)	1	35	35	TRA	SUBANT	New Zealand	1990
Bartle (1990)	1	16	16	PLL	SUBANT	New Zealand	1989
Croxal and Prince (1990)	1	12	12	PLL	SUBTRS	N Argentina, Uruguay, Brazil	1984-1986

\* Bycatch in subtropical and sub-Antarctic areas of New Zealand was pooled.

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943 **Table 2.** Candidate mixed effect models for proportion of males, and proportion of adults in seabird  
 944 bycatch composition as influenced by region and type of fishery. All models included species as a  
 945 random effect. Best models are highlighted in bold.

Model	df	AICc	$\Delta$ AICc	Deviance	Resid df
Sex (y <- proportion of males)					
y ~ Region * Fishery	6	-42.1	0.0	-55.3	75
<b>Y ~ Region</b>	<b>4</b>	<b>-40.6</b>	<b>1.5</b>	<b>-49.0</b>	<b>91</b>
y ~ 1	3	-33.0	9.1	-39.2	118
y ~ Region + Fishery	5	-32.3	9.8	-43.1	76
y ~ Fishery	4	-7.8	34.3	-16.3	86
Age (y <- Proportion of adults)					
<b>y ~ Region * Fishery</b>	<b>6</b>	<b>48.4</b>	<b>0.0</b>	<b>35.1</b>	<b>68</b>
y ~ Region + Fishery	5	55.5	7.1	44.6	69
y ~ Fishery	4	72.1	23.7	63.3	79
Y ~ Region	4	83.3	34.9	74.8	85

y ~ 1

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969 **Table 3.** Frequency of bycatch samples not skewed and skewed toward males (M) or females (F),  
 970 and toward adults (A) or immatures (I); per fishery type and regions.

Fishery	Sex			$p (\chi^2)$	Age			$p (\chi^2_{Yates}):$ A vs I <sup>a</sup>
	Not skewed	M	F		Not skewed	A	I	
Pelagic longline	18	14	16	0.789	5	27	15	0.090
Demersal longline	<b>9</b>	<b>15</b>	<b>2</b>	<b>0.008</b>	0	<b>14</b>	<b>4</b>	<b>0.034</b>
Gillnet	7	6	2	na <sup>b</sup>	3	8	7	1.000
Trawler	<b>5</b>	<b>12</b>	<b>1</b>	<b>0.006</b>	0	<b>17</b>	<b>0</b>	<b>&lt;0.001</b>
Longlines combined	27	29	18	0.249	5	<b>41</b>	<b>19</b>	<b>0.007</b>
Region								
Sub-Antarctic	<b>18</b>	<b>26</b>	<b>3</b>	<b>&lt;0.001</b>	2	<b>29</b>	<b>7</b>	<b>&lt;0.001</b>
Sub-Arctic	<b>8</b>	<b>8</b>	<b>1</b>	<b>0.056</b>	1	11	7	0.479
Subtropical South	8	5	10	0.439	3	10	10	1.000
Subtropical North	2	2	3	na	1	4	2	na
Sub-polar	<b>26</b>	<b>34</b>	<b>4</b>	<b>&lt;0.001</b>	3	<b>41</b>	<b>15</b>	<b>&lt;0.001</b>
Subtropical	10	7	13	0.407	4	14	12	0.844

<sup>a</sup> Comparisons were made only between the frequency of adult- and immature-skewed samples since unbalanced age ratios are expected in wild populations; <sup>b</sup> “na” (not available) refers to frequencies not compared due to small sample sizes.

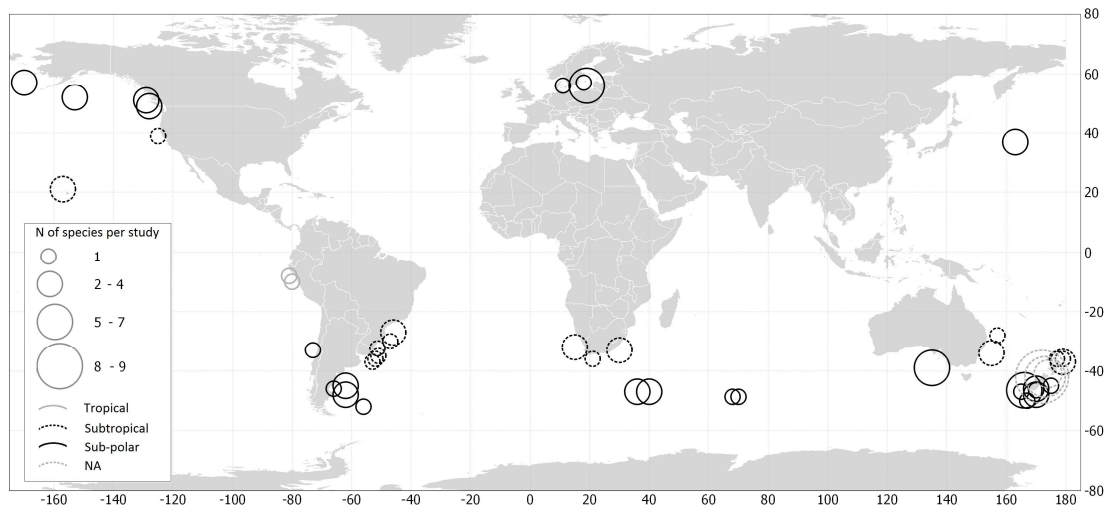
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**Table 4.** Candidate mixed effect models for proportion of males, and proportion of adults in seabird bycatch composition as influenced by region and fishery type. The analyses of regional effects compared bycatch only in pelagic longline fisheries (PLL), which was the fishery gear-type best represented between regions (subpolar vs subtropical), while the analyses of the fishery gear-type consider fisheries in the subpolar region only, which was the region with comparable sample sizes among fisheries. All models included species as a random effect. Best models are highlighted in bold.

Model	df	AICc	ΔAICc	Deviance	Resid df
Sex (y <- proportion of males)					
<b>y ~ Fishery, subpolar only</b>	<b>6</b>	<b>-38.2</b>	<b>0.0</b>	<b>-51.8</b>	<b>52</b>
Y ~ 1	3	-33.0	5.2	-39.2	118
y ~ Region, PLL only	4	-16.6	21.6	-25.6	40
Age (y <- Proportion of adults)					
<b>y ~ Fishery, subpolar only</b>	<b>6</b>	<b>14.4</b>	<b>0.0</b>	<b>0.5</b>	<b>45</b>
y ~ Region, PLL only	4	27.4	13.0	18.4	39
y ~ 1	3	95.6	81.2	89.4	112

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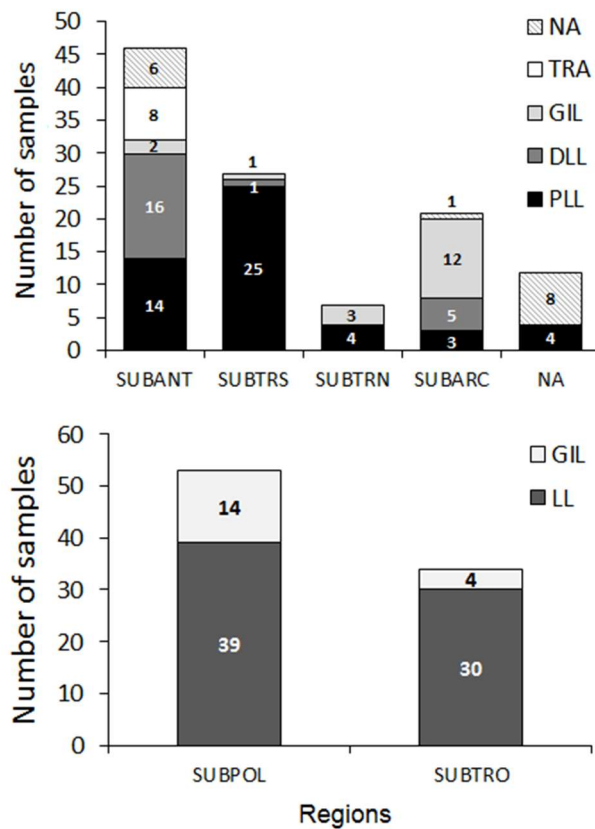
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**Figure 1.** Spatial distribution of seabird bycatch studies with data on sex and age composition. The size of the circles indicates the number of species per study. Black solid and dotted circles indicate subpolar and subtropical regions, respectively. Light grey solid circles show studies in the tropics (Peru), and light grey dotted circles correspond to studies from New Zealand without detailed information on regions (NA), which were therefore excluded from the analysis of regional effects. **(Two columns fitting)**

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**Figure 2.** Number of bycatch samples by main fishery type and regions, reflecting (A) the original data, and (B) fisheries categories collapsed for the purposes of modelling. Abbreviations: SUBANT, sub-Antarctic; SUBARC, sub-Arctic; SUBTRS, subtropical southern; SUBTRN, subtropical northern; SUBPOL, subpolar; SUBTRO, subtropical; PLL, pelagic longline; DLL, demersal longline; GIL, gillnet; TRA, trawler; LL, longline; NA, detailed data per fishery type or region was not available. **(Single column fitting)**



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1054 **Figure 3.** Sex (A) and age ratios (B) of seabird species reported as bycatch in global fisheries.

1055 The number of individuals in each sample is shown inside the bars, and asterisks indicate

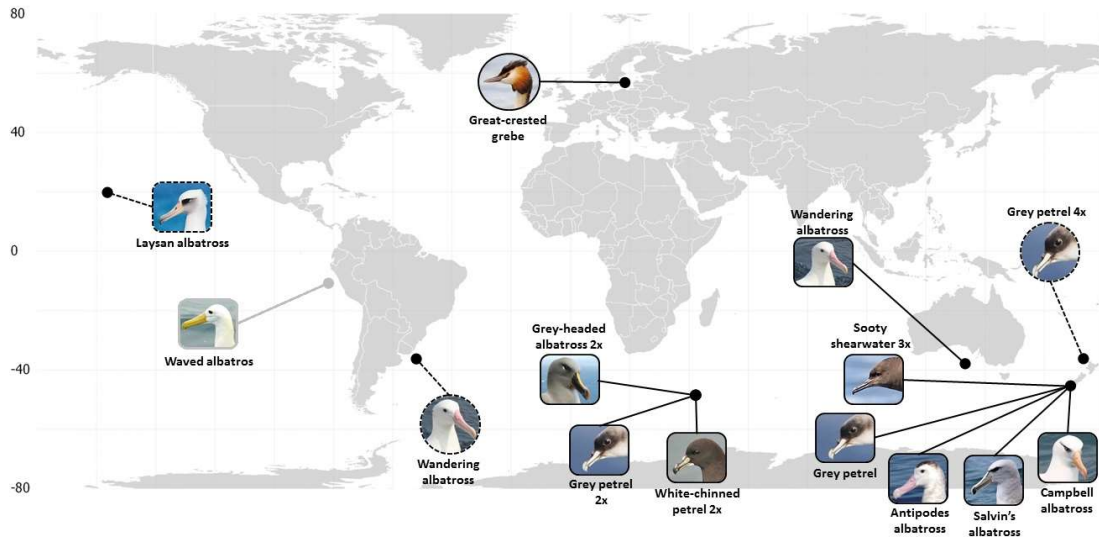
1056 sex compositions that deviated statistically from the expected sex ratio of 1:1 ( $\chi^2_{Yates}$ ,  $P <$

1057 0.05). Deviation from a 1:1 ratio age ratios were not tested because equal proportions of

1058 adults and immatures are not expected in wild populations. **(Two columns fitting)**

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**Figure 4.** Geographical distribution of the highly sex-biased bycatch samples ( $\geq 80\%$  of one sex). Squared figures refer to male and circled figures refer to female-biased samples. Black solid, black dotted and grey lines denote bycatch events in subpolar, subtropical and tropical regions respectively. Highly sex-biased samples from studies that subtropical and sub-Antarctic areas of New Zealand were pooled are not shown. The numbers follow common names indicate the number of sex-biased samples for that taxon in the given location. **(Two columns fitting)**

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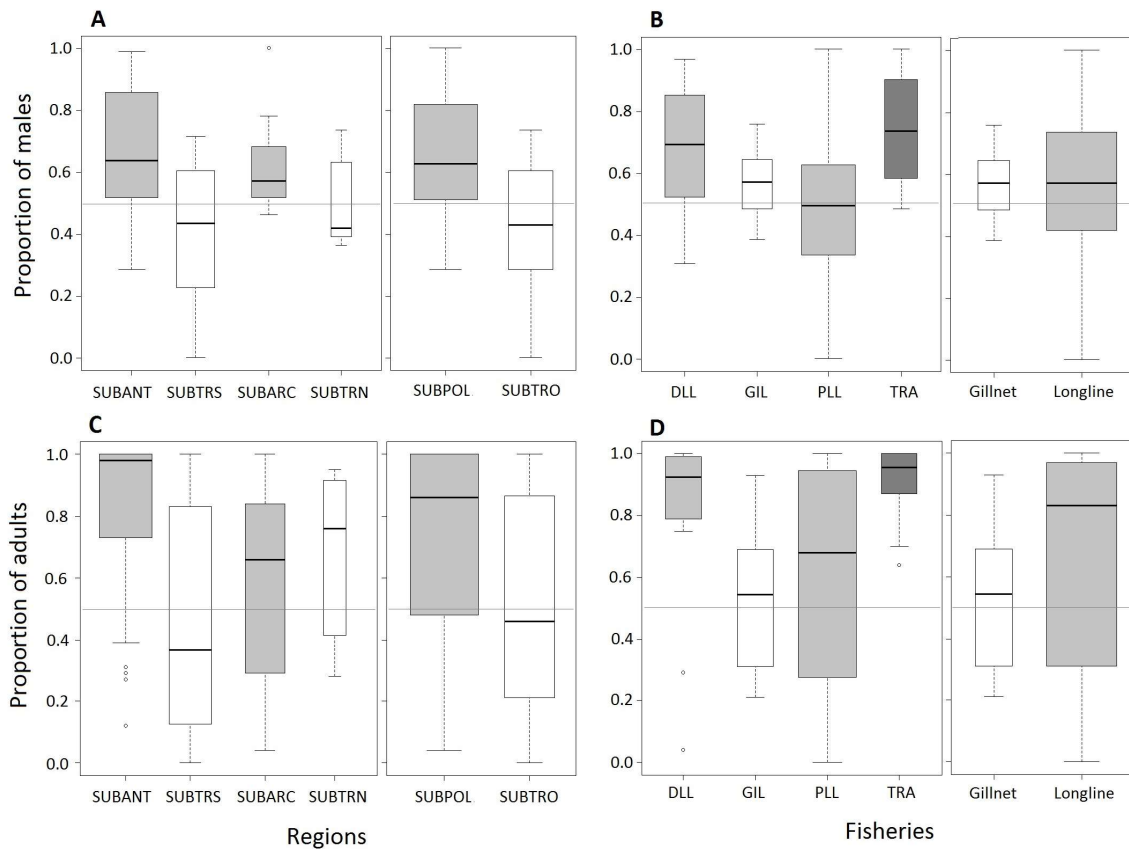
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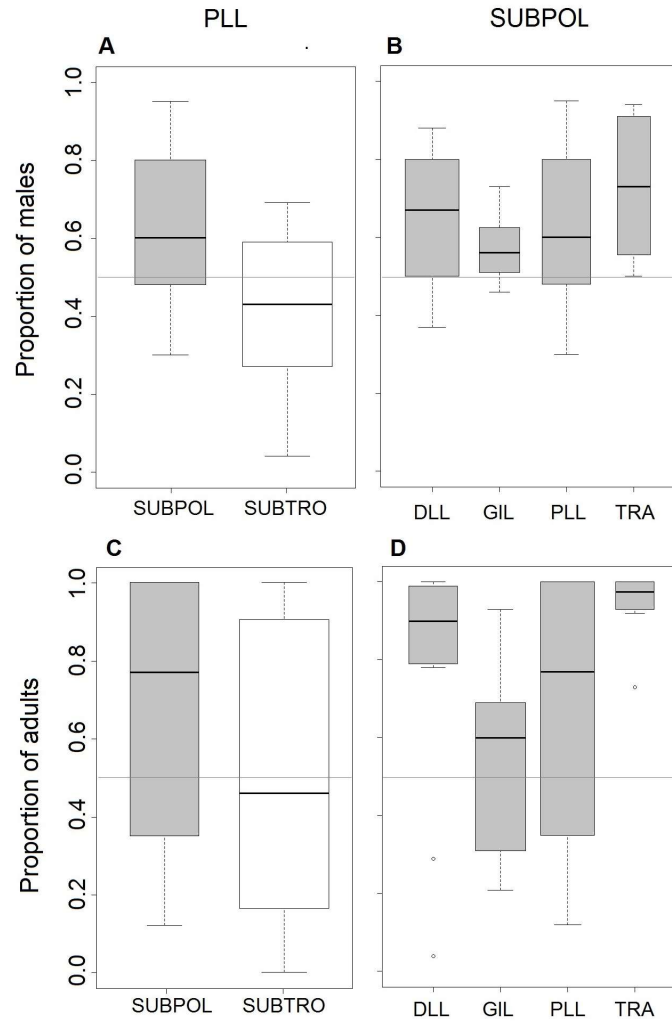
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1089 **Figure 5.** Proportion of males (top) and of adults (bottom) in seabird bycatch samples by  
 1090 region (left) and fishery type (right). Box plots show the median and the 25<sup>th</sup> and 75<sup>th</sup>  
 1091 percentiles, whiskers indicate values within 1.5 times of the interquartile range, circles  
 1092 represent outliers, and box plot width varies with the sample size, and the horizontal grey  
 1093 line indicates the 0.5 mark. Abbreviations: SUBANT, sub-Antarctic; SUBARC, sub-Arctic;  
 1094 SUBTRS, subtropical southern; SUBTRN, subtropical northern; SUBPOL, subpolar; SUBTRO,  
 1095 subtropical; PLL, pelagic longline; DLL, demersal longline; GIL, gillnet; TRA, trawler. **(Two**  
 1096 **columns fitting)**

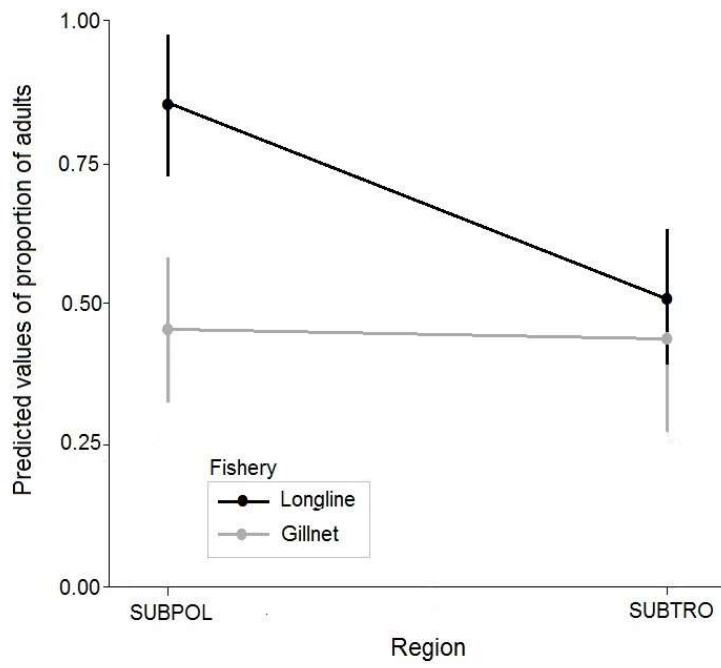


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1098 **Figure 6.** Proportion of males (top) and of adults (bottom) in seabird bycatch samples  
 1099 compared between regions using only bycatch data from pelagic longline fisheries (left),  
 1100 and compared among the four major fishery-gear types using only data from subpolar  
 1101 regions (right). Box plots show the median and the 25<sup>th</sup> and 75<sup>th</sup> percentiles, whiskers  
 1102 indicate values within 1.5 times of the interquartile range, circles represent outliers, box  
 1103 plot width varies with the sample size, and the horizontal grey line indicates the 0.5 mark.

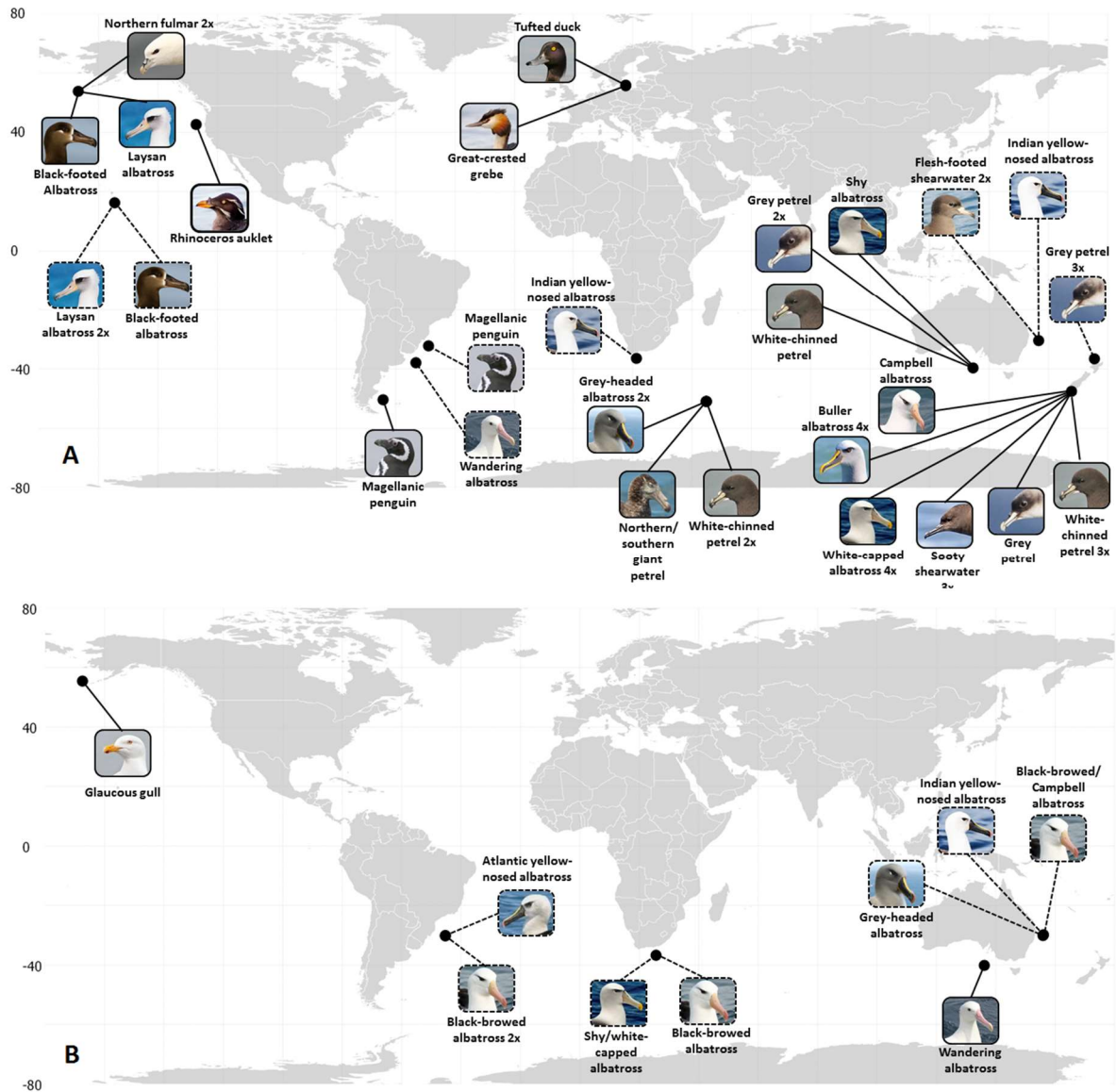
1104 Abbreviations: SUBPOL, subpolar; SUBTRO, subtropical; PLL, pelagic longline; DLL,  
 1105 demersal longline; GIL, gillnet; TRA, trawler. **(Single column)**

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**Figure 7.** Interaction effect of region and fishery type on the proportion of adults in seabird bycatch. SUBPOL, subpolar; SUBTRO, subtropical.



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1112 **Figure 8.** Geographical distribution of bycatch samples highly skewed towards adult (A) or  
 1113 towards immature (B) ( $\geq 80\%$  of one age class). Solid and dotted lines denote bycatch events  
 1114 in subpolar and subtropical regions respectively. The numbers following common names  
 1115 indicate the number of highly age-biased samples for that taxon in the given location. **(Two**  
 1116 **columns fitting)**

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